# PHASE FIELD MODELING OF $\beta_1$ PRECIPITATION IN WE54 ALLOY

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### Abstract

WE54 (Mg-5wt%Y-2wt%Nd-2wt%HRE), which has high strength and lightweight at elevated temperatures, has been identified as one of the most successful magnesium alloys. The strength of WE54 can be achieved via precipitation strengthening by aging at 150~250°C during which precipitations of intermediate phases  $\beta_1$  and  $\beta'$  and equilibrium phase  $\beta$  take place. In order to understand the microstructure evolution of  $\beta_1$  phase and its effects on dislocation gliding, a phase field model of  $\beta_1$ precipitation has been developed. Model inputs, including lattice parameters, precipitate-matrix orientation relationship, elastic constants and free energy data, are obtained from experimental characterization, ab initio calculations and thermodynamic databases. Through computer simulations, the equilibrium shape and spatial distribution of the  $\beta_1$  precipitates are quantitatively determined. The interactions between precipitates and gliding basal dislocations and corresponding effects on the strength of the alloy have been investigated.

## 1. Introduction

With high strength to weight ratio, magnesium alloys have been widely used for applications in aerospace and automotive industries [1-13]. One of the most successful commercial magnesium alloys developed for fabricating component is WE54, which has a composition of about 5wt% Y, 2wt%Nd and 2wt% heavy rare-earth elements. WE54 alloy has a tensile strength of 200MPa, improved corrosion resistance and excellent retention of properties at elevated temperatures up to 300°C [14-17]. The strength of the WE54 alloy is achieved via precipitation hardening. Intermediate phases of  $\beta_1$ ,  $\beta'$  and equilibrium phase of  $\beta$  form when the allov is heat treated in the T6 condition (solution treatment for 8 h at 525°C, a hot water quench and a subsequent aging treatment of 16 h at 250°C). Previous studies have shown that  $\beta_1$  precipitates have a plate shape with {1100} habit planes and eventually convert into  $\beta$  phase during prolonged ageing. Interesting multi-variant microstructures such as a "triadic' structure, which is constructed by three different  $\{1100\}$  plates that are rotated by 120° from each other, have been observed in experiments (Fig. 1). In addition, it has also been suggested that these prismatic plates effectively strengthen the alloy by providing barriers to gliding dislocations and highest hardness can be reached by peak-aging [14-17]. Thus great interests have been shown in designing and heat treating high strength magnesium alloys by which the fraction and size of precipitates can be controlled [2, 3]. In this paper, effects of  $\beta_1$  precipitates on the yield stress of WE54 are investigated by computation and simulation method. The multi-variant morphology of  $\beta_1$ 

precipitates and formation mechanism of triadic structure will be discussed in a separate paper.



Fig. 1. Microstructure of WE54 in T6 condition

Phase field modeling and simulation have been used widely to treat both diffussional and diffussionless phase transformations and dislocation-precipitate interactions [18-23]. In this study we formulate a phase field model to study the morphology of  $\beta_1$  and the interaction between  $\beta_1$  and gliding basal dislocations. In particular, we document through systematic computer simulations (a) the equilibrium shape and spatial distribution of  $\beta_1$  precipitates, (b) effects of  $\beta_1$  precipitates on gliding basal dislocations and corresponding critical resolved shear stress.

The stress fields surrounding a single  $\beta_1$  precipitate are determined by the interplay between chemical free energy and elastic strain energy. The chemical free energy model for the matrix and precipitate phases in WE54 alloy are formulated from existing thermodynamics databases [24]. The elastic strain energy is formulated using Khachaturyan and Shatalov's microelasticity theory [25, 26]. In order to study the interaction between  $\beta_1$  precipitates and dislocation, a simplified model based on Orowan bowing mechanism is proposed and benchmarked against phase field calculations to achieve higher efficiency for parametric studies of the influence on deformation behavior from different factors such as resolved shear stress, volume fraction and size of  $\beta_1$  precipitates.

In the following sections, thermodynamic modeling and transformation strain calculation are first presented (Section 2 and 3), followed by descriptions of the phase field model (Section 4). Simulation results are presented and discussed respectively in Section 5 and 6. The major findings are summarized in Section 7.

# 2. Thermodynamic Modeling of HCP Phase in WE54

The accuracy of phase field simulations and predictions relies on the accuracy of available thermodynamic databases, especially the free energy of the HCP matrix phase in the case of WE54. In this study, the composition of WE54 is taken as Mg-5wt%Y-4wt%Nd, and the chemical free energy of which can be described by a subregular solution model [24]:

$$G^{HCP}(x_{Mg}, x_{Y}, x_{Nd}) = x_{Mg}G_{0}^{Mg} + x_{Y}G_{0}^{Y} + x_{Nd}G_{0}^{Nd}RT(x_{Mg}\ln x_{Mg} + x_{Mg}\ln x_{Y} + x_{Nd}\ln x_{Nd})$$
(1)  
+  $x_{Mg}x_{Y}\sum_{i}L'_{Mg,Y}(x_{Mg} - x_{Y})$   
+  $x_{Mg}x_{Nd}\sum_{i}L'_{Mg,Y}(x_{Mg} - x_{Nd})$   
+  $x_{Nd}x_{Y}\sum_{i}L'_{Nd,Y}(x_{Nd} - x_{Y})'$ 

where  $X_{Mg}, X_Y, X_{Nd}$  are mole fraction of each species respectively.

## 3. Crystallography of HCP to $\beta_1$ Transformation

3.1 Crystallography Of  $\beta_1$  Phase And Its Orientation Relationship With HCP Matrix

The  $\beta_1$  phase has a cubic structure with a = 0.741 nm, which has a

Fm3m space group. It is an ordered phase with a stoichiometry of Mg<sub>3</sub>(Y,Nd)<sub>1</sub>. The crystal structure of  $\beta_1$  is shown in Fig. 2. It can be considered as a BCC lattice if different atoms are not distinguished. Furthermore, a FCC sub-lattice of (Y/Nd) atoms can be found within the BCC structure and the other lattice sites have been occupied by Mg atoms which gives a stoichiometry of Mg<sub>3</sub>(Y,Nd)<sub>1</sub>.



Fig. 2. Crystal structure of  $\beta_1$  phase

According to experimental observations, the lattice correspondence between  $\beta_1$  and HCP Mg ( $a_{HCP} = 0.321$  nm,  $c_{HCP} = 0.521$  nm) matrix is as follows which was used in the calculation of transformation matrix [17]:

$$[0001]_{HCP} \rightarrow \frac{1}{2} [110]_{\beta_{1}} \qquad \frac{2}{3} [11\overline{2}0]_{HCP} \rightarrow \frac{1}{2} [\overline{1}1\overline{1}]_{\beta_{1}}$$

$$2[1\overline{1}00]_{HCP} \rightarrow \frac{1}{2} [\overline{1}13]_{\beta_{1}}$$

## 3.2 Calculation Of Stress-Free Transformation Strain (SFTS)

Since prismatic plates of  $\beta_1$  precipitates have been observed in experiments, it is more convenient to focus our study on the (0001)<sub>HCP</sub> plane by which calculations can be greatly simplified. Our coordinate system is constructed as Fig. 3, in which  $[1\overline{100}]_{HCP}$  and  $[11\overline{20}]_{HCP}$  are set as x and y directions respectively.



Fig. 3. 2D Sketch of structure transition from HCP to  $\beta_1$ 

Because  $[0001]_{HCP}$  has 3-fold symmetry while  $[110]_{\beta_1}$  has only 2-fold symmetry, three kinds of different  $\beta_1$  variants will be generated during the transformation [16, 17]. The transformation matrix and transformation strain of  $\beta_1$  variants have been calculated as follows:

$$U_{2} = \begin{bmatrix} \frac{\sqrt{2}a_{\beta_{1}}}{2\sqrt{3}a_{\mu}c_{P}} & 0\\ 0 & \frac{a_{\beta_{1}}}{2a_{\mu}c_{P}} \end{bmatrix} = \begin{bmatrix} 0.942 & 0\\ 0 & 1.154 \end{bmatrix}$$
(2)  
$$U_{1} = R^{T}U_{2}R = \begin{bmatrix} 1.102 & 0.092\\ 0.092 & 0.995 \end{bmatrix}$$
$$U_{3} = RU_{2}R^{T} = \begin{bmatrix} 1.102 & -0.092\\ -0.092 & 0.995 \end{bmatrix}$$
$$\varepsilon(p) = \frac{U_{p}^{T}U_{p} - I}{2}, \quad p = 1 \sim 3$$

where R is a rotation matrix of 120 degree,  $U_p$  and  $\varepsilon(p)$  are the transformation matrix and stress-free transformation strain of variant p respectively.

$$R = \begin{bmatrix} \cos 120^{\circ} & \sin 120^{\circ} \\ -\sin 120^{\circ} & \cos 120^{\circ} \end{bmatrix}$$
(3)

#### 4. Phase Field Model

# 4.1. Energy Formulation For HCP -> $\beta_1$ Transition

According to Landau's theory of phase transformations, a set of 3 order parameters,  $\{\eta_i, \eta_2, \eta_3\}$  has been introduced to characterize the HCP to  $\beta_1$  transformation, with  $\eta_i = \eta_2 = \eta_3 = 0$  representing the HCP phase and  $\{\eta_i = 1, \eta_{j\neq i} = 0\}$  (where i=1-3 and j=1-3) representing the 3 variants of  $\beta_1$  phase. In addition, an interpolation function  $h(\eta_i) = \eta_i^3(10 - 15\eta_i + 6\eta_i^2)$  is used to connect the equilibrium free energy of the two phases through the order parameters. The final form of the chemical free energy density in the phase field model can be expressed as a function of five field variables (unit: J/m<sup>3</sup>):

$$f(\mathbf{x}_{Y}, \mathbf{x}_{Nd}, \{\eta_{p}, p = 1 \sim 3\})$$

$$= V_{m}^{-1} \begin{bmatrix} G_{HCP} \cdot (1 - \sum_{p=1}^{3} h(\eta_{p})) \\ + G_{\beta 1} \cdot \sum_{p=1}^{3} h(\eta_{p}) + \theta \sum_{p=1}^{3} \sum_{q=1}^{3} \eta_{p}^{2} \eta_{q}^{2} \end{bmatrix}$$
(4)

In Equation(4),  $V_m$  is the molar volume and  $G_{HCP}$  and  $G_{\beta l}$  are the equilibrium Gibbs free energy of the HCP matrix and  $\beta_1$  phase, respectively.  $\theta$  is the coefficient to describe anti-phase boundary energy.  $G_{HCP}$  at 523K is fitted from the available thermodynamic data (see Section 2) (in a unit of  $10^4$  J/mol)

$$G_{HCP} = -1.8989 - 5.1124x_{\gamma} - 4.7448x_{Nd}$$
(5)  
+ 6.8855x\_{\gamma}^{2} + 4.1893x\_{\gamma}x\_{Nd} + 8.2346x\_{Nd}

and  $G_{\beta l}$  is approximated as a second order function of Ni, Pt compositions.

$$G_{\rho_1} = -1.6803 - 6.7795 x_{\gamma} - 6.4223 x_{Nd}$$

$$+ 4.0 x_{\gamma}^2 + 6 x_{\gamma} x_{Nd} + 4.0 x_{Nd}^2$$
(6)

where the constants are determined (a) by a common tangent plane going through the equilibrium HCP matrix concentration and the equilibrium  $\beta_1$  phase concentration determined from experimental characterization and (b) considering the effect of the curvature of the parabola on the interfacial energy between the two phases. For an alloy of Mg<sub>97.81</sub>Y<sub>1.47</sub>Nd<sub>0.72</sub>, the equilibrium Y and Nd concentrations determined by the common tangent construction are  $x_Y(\text{HCP})=0.0075$ ,  $x_{Nd}(\text{HCP})=0.001$  and  $x_Y(\beta_1)=0.1373$ ,  $x_{Nd}(\beta_1)=0.1127$ , which are close to the equilibrium concentrations determined by experiment at 523 K.



Fig. 4. Free energy plot of HCP phase and  $\beta_1$  phase

Assuming the lattice misfit between the two coexisting phases is only associated with structural non-uniformities, the SFTS field is formulated as a function of structural order parameters:

$$\varepsilon_{ij}^{T}(r) = \sum_{p=1}^{3} \varepsilon_{ij}(p) h(\eta_{p})$$
<sup>(7)</sup>

The total elastic energy can be formulated following Khachaturyan-Shatalov's microelasticity theory [26]:

$$E_{elastic} = \frac{1}{2} \sum_{p,q=1}^{3} f \frac{d^{3}k}{(2\pi)^{3}} B_{pq}(\vec{n}) \{ \tilde{\eta}_{p} \}_{k} \{ \tilde{\eta}_{q} \}_{k}^{*}$$
(8)

where the integral is taken in the reciprocal space, and  $\vec{n}$  is a unit vector in the reciprocal space. Note that  $\vec{k} = 0$  is to be excluded,

which defines the principle value of the integration.  $\{\tilde{\eta}_p\}_k$  is the Fourier transform of  $\eta$  which is a function of spatial coordinates. The superscript asterisk indicates the complex conjugate.  $B_{pq}(\vec{n})$  is the integral coefficient determined by the Fourier transform of the Green function of anisotropic elasticity [26].

After incorporating the gradient terms, the total free energy of the system becomes:

$$F = \int d^{3}r \cdot \left[ \frac{f(x_{\gamma}, x_{Nd}, \{\eta_{\rho}\}) + \frac{\kappa_{\gamma}}{2} (\nabla x_{\gamma})^{2}}{+ \frac{\kappa_{Nd}}{2} (\nabla x_{Nd})^{2} + \sum_{\rho=1}^{3} \frac{\kappa_{\eta}}{2} (\nabla \eta_{\rho})^{2}} \right] + E_{clastic} \quad (9)$$

4.2. Kinetic Equations For HCP ->  $\beta_1$  Transition

The Cahn-Hilliard equation and Allen-Cahn equation are used to describe the time evolution of the concentration and order parameter fields

$$\frac{1}{V_m^2} \frac{\partial x_\gamma}{\partial t} = \nabla \left\{ M_\gamma \nabla \left[ \frac{\delta F}{\delta x_\gamma} \right] \right\}$$
(10a)

$$\frac{1}{V_m^2} \frac{\partial x_{Nd}}{\partial t} = \nabla \left\{ M_{Nd} \nabla \left[ \frac{\delta F}{\delta x_{Nd}} \right] \right\}$$
(10b)

$$\frac{\partial \eta_p}{\partial t} = -L \frac{\delta F}{\delta \eta_p}, p = 1 \sim 3$$
(10c)

where  $x_Y$ ,  $x_{Nd}$  are the molar concentrations of Y and Nd,  $M_Y$ and  $M_{Nd}$  are the chemical mobilities and L is the kinetic coefficient for the time-evolution of the order parameters.

# 4.3 Phase Field Analysis Based Orowan Bowing

A line tension based Orowan bowing mechanism [27] has been used as the basis to provide an analysis on the interaction between precipitation and dislocation. The line tension of the dislocation is assumed to be

$$T = \frac{\alpha \mu b^2}{2} \tag{11}$$

Where  $\mu$  is the shear modules, b is Burger vector and  $\alpha$  is a constant whose typical value is 1 to 2. Thus the critical resolved shear stress caused by precipitation hardening can be determined by Orowan's Equation [27],

$$\tau_{crss} = \frac{\alpha \mu b}{\lambda} \tag{12}$$

Where  $\lambda$  is the interparticle spacing and the yield stress can be calculated,

$$\sigma_{y} = \frac{\tau_{crss}}{\gamma} + \sigma_{y}^{0}$$
(13)

Where  $\gamma$  is Schmid factor and  $\sigma_{\gamma}^{\rho}$  is the yield stress of material without precipitation which is caused by many factors such as lattice friction and solute drag.

A non-conserved field variable  $\xi$  is introduced to define two states:  $\xi$ =1 represents that the materials have been sheared by the dislocation while  $\xi = 0$  means the non-sheared materials. A double well free energy function is used to confine the phase variable within the vicinity of either 0 or 1.

$$g(\xi) = A\xi^2 (1 - \xi)^2$$
(14)

Since the phase variable is defined as the displacement field, the dislocation line is interpreted as the boundary of the displaced region where  $\xi = 1$ . Gradient term should be added to total energy formulation and the equilibrium phase variable profile is determined by the balance between double-well potential and gradient energy which is corresponding to the line tension of the dislocation in this case. The total free energy can be written as:

$$G = \int d^3 r \left[ g(\xi) + B x_{\gamma} \xi + \frac{\kappa_{\xi}}{2} (\nabla \xi)^2 - H \xi \right]$$
(15)

Where  $H\xi$  is the external work term.  $Bx_F\xi$  is the extra energy penalty for cutting  $\beta_1$ . The concentration field  $x_F$  is used to define spatial distribution of  $\beta_1$  precipitates in HCP matrix. By fitting Anti-phase boundary (APB) energy, the numerical value of parameter B can be obtained. In our simulation, a sufficient large value of B has been chosen to prevent APB cutting. The scaling factor between H and real applied shear stress has been determined by comparing simulated critical stress  $H^*$  with the analytical result in Equation (12).

The kinetic equation of displacement field is Ginzburg-Landau type:

$$\frac{\partial \xi}{\partial t} = -L_{\xi} \frac{\delta G}{\delta \xi} \tag{16}$$

Where  $L_{\varepsilon}$  is the kinetic coefficient (dislocation mobility).

#### 5. Simulation Results

By simplification, the interfaces between HCP matrix and  $\beta_1$  precipitates are considered as coherent, and the interfacial energy is assumed to be 50 mJ/m<sup>2</sup>, which yields a grid size of  $l_0$ =0.424 nm in the phase field simulation. Thus, a computation cell of 512 grids represents a physical system of edge length 217 nm. The elastic constants used in the simulations are [28]:  $c_{11}$ =63.5GPa,  $c_{12}$ =24.85GPa,  $c_{44}$ =19.3GPa,  $c_{13}$ =20.0GPa,  $c_{33}$ =66GPa.

# <u>5.1 Equilibrium Shape Of A $\beta_1$ Precipitate</u>

The equilibrium shape of an isolated  $\beta_1$  precipitate (variant 1) obtained from the simulation is shown in Fig. 5. It has a plate-

shape with (1100) habit plane. Moreover, rim of the plate, which is corresponding to two tips in 2D, is slightly distorted because of elastic interaction. Based on experimental observations, due to the coexisting of  $\beta$ ' precipitates at tips of  $\beta_1$  plates which reduces stress concentration, single  $\beta_1$  plate cannot be found in WE54 alloy. However, single  $\beta_1$  plate with slightly distorted tips can be observed in Mg-Nd binary system which agrees with our simulation results.



Fig. 5. 3D simulation results of a single  $\beta_1$  particle

## 5.2 Multi-particle Correlation

In this study, the Langevin noise terms are used to generate microstructures consisting of the multi-particles in the simulations. An example is shown in Fig. 6. Three  $\{1\overline{1}00\}$  habit planes can be obtained which form a triadic structure. It can be showed that there is a triangle of HCP matrix in the center of a star due to elastic interaction which agrees with experimental observations.



Fig. 6. Simulation results of multi-particle generated by langevin noise terms

# 5.3 Interaction Between Precipitation And Dislocation

A typical microstructure generated by simulations has been used to study the hardening effect of  $\beta_1$  phase. The shear modules used is  $\mu = 18.5$ GPa [28], and the coefficient  $\alpha$  in Equation (11) is taken as 1. In Fig. 7, a slip system of  $\{0001\} < 11\overline{2}0 >$  is considered under applied shear stress (50MPa).

Initially, a screw type of dislocation is put into the left side of the system. Due to the blockage of  $\beta_1$  precipitates, some part of the dislocation line has already been bowed under the applied external stress and it becomes mixed type. By assuming the typical yield stress without precipitation is ~130MPa and Schmid factor  $\gamma=0.5$ , this stress level is corresponding to 230 MPa uniaxial stress. Finally, the dislocation line has gone through the microstructure

and dislocation loops around uncuttable  $\beta_1$  particles can be observed finally.



Fig. 7. Displacement field increase under 50MPa shear stress

#### 6. Discussions

## 6.1 Habit plane of $\beta_1$ particle

Since the SFTS of  $\beta_1$  phase is relatively large comparing with most other diffusional transitions, the shape of an equilibrium  $\beta_1$ particle is almost determined by elastic interaction. According to the elastic energy formulation, habit plane  $n_p$  of  $\beta_1$  variant *p* can be determined by finding the minimum point of  $B_{pp}(\vec{n})$  function, which is corresponding to a direction in projection cases and a

which is corresponding to a direction in reciprocal space and a plane normal in real space [26].

$$\frac{\partial B_{pp}(\bar{n})}{\partial \bar{n}}\bigg|_{n=n_p} = 0, \quad p = 1 \sim 3$$
<sup>(17)</sup>

By solving above equations, habit planes for different variants can be figured out:

$$n_{1} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0.5 \\ 0.866 \\ 0 \end{bmatrix}; n_{2} = \begin{bmatrix} -0.5 \\ 0.866 \\ 0 \end{bmatrix}, \begin{bmatrix} 0.5 \\ 0.866 \\ 0 \end{bmatrix};$$
(18a)  
$$n_{3} = \begin{bmatrix} -0.5 \\ 0.866 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

Considering the coordinate system we constructed, the three habit

planes above belong to  $\{1100\}_{HCP}$  which are consistent with experimental observations. Moreover, since two different habit planes could be formed by each variant, one habit plane is corresponding to one of two possible  $\beta_1$  variants. Totally, there are six different kinds of  $\beta_1$  plates based on the analysis of crystallography.

# 6.2 Effects Of B1 Precipitates On Yield Stress

By precipitation hardening, high yield stress of Mg-Y-Nd system can be achieved via aging treatment. Based on the mechanism of Orowan bowing, yield stress is greatly influenced by volume fraction and particle size of precipitation. In order to find the relation quantitatively, computation method could be more efficient both timely and economically comparing with experimental efforts.

A series of simulations have been designed with different initial composition and nucleation rate which gives various microstructure with different precipitate fraction and particle size distribution. The critical resolved shear stress and yield stress for different microstructure have been calculated by simulations. The relationship between yield stress and volume fraction and average particle size of precipitates has been quantified by linear fitting which is showed in Fig. 8.



Fig. 8. Yield stress as a function of volume fraction and size of  $\beta_1$ 

An empirical function for yield stress is as follows,

$$\sigma_v(MPa) = 172 + 12.3 \times Vf(\%) - 0.078 \times Pz(nm^2)$$
(19)

Where  $V_f$  is volume fraction of  $\beta_1$  and  $P_z$  is particle size which is measured as the area in (0001) cross-section.

From Equation (19), it can be concluded that yield stress increase with volume fraction and decrease with particle size. In order to get high yield stress alloy, we should increase volume fraction of  $\beta_1$  and decrease particle size. In experiments, change of volume fraction can be achieved by adjusting initial composition, controlling aging time and temperature. And particle size can be controlled by cold working before aging, by which more defects can be introduced as the nucleation sites of  $\beta_1$  precipitates. Aging time is a subtle parameter because both volume fraction and particle size increase with aging time which makes two opposite contributions to yield stress. As a result, some suggestions to improve yield strength can be concluded based on our analysis: (a) increasing initial chemical composition of Y and Nd (or RE) which results in the increase of equilibrium  $\beta_1$  fraction; (b) moderate cold working which increases the nucleation rate of  $\beta_1$ precipitates; (c) controlling aging temperature and time properly.

#### 7. Summary

A phase field model which describes the precipitation of  $\beta_1$  in WE54 alloy has been developed. The main principal transformation strain of  $\beta_1$  is found to be -5.6%, +16.7% and +0.6%. If the slight mismatch in the third dimension is ignored, an invariant plane can be achieved between  $\beta_1$  phase and HCP matrix which are corresponding to  $\{1\overline{1}00\}_{HCP}$  plane. The plate-like shape of a  $\beta_1$  particle is determined by the interplay of interfacial energy and elastic energy.

Based on Orowan bowing, a phase field analysis has been applied to investigate the interaction between  $\beta_1$  precipitates and gliding basal dislocation. An empirical function has been proposed to predict the yield stress based on the statistical data of volume fraction and particle size of precipitates in simulations. Yield stress will increase with volume fraction and decrease with particle size of  $\beta_1$  precipitates. In order to get higher strength alloy, an increase in the Y, Nd (RE) concentrations, the moderate cold working and the proper aging temperature and time control are suggested.

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