

### 3

## Molecular Modeling for Physical Property Prediction

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### 3.1

#### Introduction

Multiscale modeling is becoming the standard approach for process study in a broader framework that promotes computer-aided integrated product and process design. In addition to the usual purity requirements, end products must meet new constraints in terms of environmental impact, safety of goods and people, and specific properties. Engineering achievements can be startling from the user perspective like aqueous solvent paint that is still washable after drying!

This can only be done by improving process knowledge and performance at all scales, right down to the atomic scale. Current experimental and modeling approaches assess with difficulty such submicronic scales. In experiments, there is the question of how to conceive experimental devices small enough and how to introduce them in molecular systems without irreversibly affecting the phenomena that they look at. In modeling and simulation, the questions are: which hypotheses are still relevant? How does one handle boundary effects? Numerical difficulties may arise along with the necessity of defining new parameters. They will be adjustable ones as no experiments can obtain them. This latter statement is particularly true for energetic interaction parameters like binary interaction parameters in current liquid-vapor equilibrium macroscopic thermodynamic models based on the activity coefficient approach or on the equation of state approach. The study of any process, phenomena attributed to energetic interactions has always been left for another time, but that time has come.

Indeed, molecular modeling is a field of study that is interested in the behavior of atomic and molecular systems subject to energetic interactions. It is then a natural complement of experimental and modeling approaches to expand multiscale approaches towards smaller scales. Besides, process flows primarily concern molecules from raw materials to end products. Therefore, at any process development step, the challenge of knowing the physical properties and thermodynamical state of molecules is critical. However, the future of this challenge is dim when one thinks

about the millions of chemical compounds referenced in the chemical abstract series. Neither experimental approaches nor current thermodynamic models can handle the combination of properties needed. In some cases experiments are not even practical because of material decomposition or safety issues. Universal group contribution methods are a pipe dream and existing ones are efficient but are restricted to specific areas like petrochemical and small molecular systems.

As providers of accurate physicochemical data, molecular modeling methods offer an alternative to an intensive and expensive experimental campaign once molecular models are available, which is becoming increasingly the case (Case, Chaka, Friend et al. 2004). But the first goal is nothing compared to the main interest of molecular methods, that is, probing matter at the molecular level (Chen and Mathias 2002; De Pablo and Escobedo 2002; Sandler 2003). Indeed, molecular modeling can be seen as a “third way to explore real matter” (Allen and Tildesley 1987). Like a theoretical approach, it is based on a model system of the real one. But unlike theory, no hypothesis and no transcription of key phenomena into equations or correlations is performed. Rather, molecular modeling performs numerical experiments to simulate directly the behavior of the model system.

The concept of numerical experiment is strong. First, the model system is made of a bounded molecular system and of an interaction model analogous to an experimental sensor that enables one to compute the internal energy of the model system. Second, think of the pseudoconstant thermometer temperature and of the Brownian motion of atoms in a liquid that generates a fluctuating temperature. More generally, any macroscopic property value measured by an experimental probe is a time-average over many instantaneous fluctuating values. Statistical thermodynamics postulates that this time-average equals an ensemble average over a statistically significant number of model system configurations. Molecular modeling generates them numerically using methods like molecular dynamics or Monte Carlo methods. Any property of interest is then derived using thermodynamical laws from instantaneous property value averages and correlation factors. Thirdly, numerical standard deviation associated to the ensemble average is the equivalent of experimental accuracy.

This chapter presents molecular modeling concepts so as to demystify them and stress their interests for chemical engineers. Multiscale approach including molecular modeling are not illustrated due to restricted space. Rather, routine examples on the use of several molecular techniques suitable for acquiring accurate vapor-liquid equilibrium data when no data is available are provided.

## 3.2

### What is Molecular Modeling?

Molecular modeling includes computer theoretical chemistry and molecular simulation. Computer theoretical chemistry calculations are carried out at 0 K and solve Schrödinger's equation to obtain nuclear and electronic properties such as conformation, orbital, charge density, and electrostatic potential surface in fundamental or excited states. Computation time is huge, being proportional at best to  $N^{2.5}$  electrons,

which restricts its use to small systems. The precision of the results is significant because the only assumptions are linked to approximations carried out to solve Schrödinger's equation. In particular, there are no adjustable parameters. Besides, it provides crucial information on the electronic distribution that enables one to evaluate electrostatic interactions in molecular simulation.

Molecular simulation is a numerical technique used to acquire the physicochemical properties of macroscopic systems from the description, on an atomic scale, of the elementary interactions and from the application of statistical thermodynamics principles. It concerns the calculation of a model system internal energy at a positive temperature. Computation time is proportional to  $N_{\text{molecules}}$ , which makes it a technique adapted to the study of real systems: phase properties, interfaces, reaction, transport phenomena, etc. Molecular simulation carries out dynamic modeling of the system subjected to realistic temperature and pressure conditions thanks to an adequate sampling of the system configurations. A configuration is a set of particle coordinates and connections. Inaccuracy may arise from the energetic models that contain fitted but physically meaningful parameters or from system configuration sampling techniques that must comply with statistical thermodynamic principles.

Molecular simulation offers the most potential for process engineering. Wherever energetic-interaction-related phenomena have a prevalent place, molecular simulation deserves to be considered for use in studying and looking further into the knowledge of the phenomena in the heart of the processes. In particular, it is suitable for the study of phase equilibrium, interfacial properties (specific adsorption on catalyst), transport coefficients, chemical reactivity, activity coefficients, etc.

### 3.2.1

#### Scientific Challenges of Molecular Modeling in Process Engineering

The use of molecular simulation in process engineering lies mainly in the difficulty of establishing the link between the macroscopic properties and their energetic description or that of significant parameters at mesoscopic or molecular scale. The micro-macro relation can be simple: in distillation, the knowledge of phase equilibrium data enables one to run an extensive study and design of the process. In tablet processing, the relation is more complex: the tablet properties (compactness, friability, dissolution) are related to the pellet's cohesion and to the substrate's solubility. Obviously, the energetic interaction is a key phenomenon and is taken into account through solubility parameters, which can be broken down into primary energy contributions (van der Waals repulsion-attraction, Coulombic interaction, etc.), precisely the applicability of molecular simulation. But particle size and solvent effects on the aggregate size and homogeneity are equally important, notwithstanding operational process parameters, and are still difficult to address at a molecular scale. So, identifying the limiting phenomena is a priority before any molecular simulation.

The size of the model systems is not an unsolvable problem as periodic boundary conditions can be applied to replicate the original system box and mimic a homogeneous macroscopic phase. Rather, the scientific challenges concern issues often

encountered in experiments, the sensor challenge, the sampling challenge, and the multiscale challenge.

### 3.2.1.1

#### **The Sensor Challenge**

For data-oriented simulations, accurate force fields/sensors are needed to evaluate precisely energetic interactions. The study of highly polar systems, reliable and relevant extrapolation of carefully set force field parameters, and the absence of temperature dependency of these parameters are key improvements of molecular simulation models over existing macroscopic models.

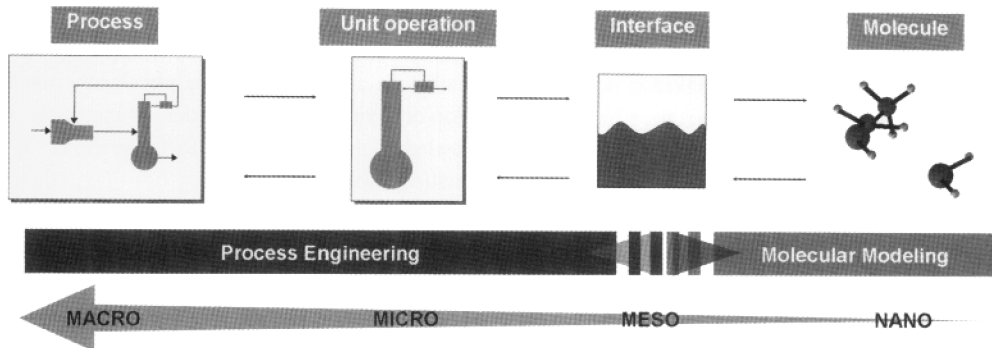
The model system is usually a parallelepiped box filled with particles whose energetic interactions are described by a force field enabling one to compute the system internal energy. In order to mimic a homogeneous phase, the box is usually replicated in 3-D by applying periodic boundary conditions. The typical size ranges from 20 to 1000 Å and may vary during simulations. Edge effects are to be envisaged and can be attenuated by increasing the box size.

The development of a force field requires a strong collaboration with theoretical chemists and physicists. Indeed, different kinds of force fields can arise: some based on quantum chemistry concepts and some based on molecular mechanics (Sandler 2003).

Quantum-based models are used in static modeling and naturally in computer theoretical chemistry calculations. Solving the Schrödinger equation, they provide the nuclear and electronic properties system and consequently the true energy of the system (e.g., the energy of ionization) that is physically measurable. Molecular mechanics models are used in molecular simulation to calculate intensive properties ( $T$ ,  $P$ ) and extensive properties, among which the internal energy of the system, which is not directly measurable by experiment, but enables one to calculate other thermodynamic properties by using thermodynamic laws. Properties like vaporization enthalpy connected to differences in internal energy are computed and can be compared to experiments.

Quantum models (QM) are practical on a few tens of atoms at best and are being used more and more in combination with molecular mechanics models for some part of the system where accurate electronic distribution is needed, e.g., a reactive zone or to provide a description of the electronic distribution.

Molecular mechanics (MM) models are the most used and are based on a springs and beads mechanistic description of the intermolecular interactions and of the intramolecular bonds. They allow calculations on several hundreds of particles, which enable one to model real systems in a satisfactory way. They contain physical parameters evaluated from quantum calculation but also empirical parameters, which must be regressed from experimental data. However, this empiricism is attenuated by some physical significance attributed to the parameters. Moreover, MM force fields show amazing properties. Valid over a large pressure and temperature range, they can be used to compute many properties and all molecules can be described from a small set of parameters if careful parameterization is conducted, which constitutes the first challenge.



**Figure 3.1** Process engineering and molecular modeling

### 3.2.1.2

#### The Sampling Challenge

The second challenge requires a strong involvement of process engineers. Novel and smart methods must be developed to sample specific states of the model system, which are of great interest for process engineering, for instance, transition states that set the reaction energetic barrier, azeotropes that affect strongly the distillation process feasibility and design, dew points, etc. Usually, existing molecular simulation methods sample nonspecific states like a vapor-liquid equilibrium point. Unlike measurement time, its experimental equivalent, numerical sampling can be advantageously biased to sample the specific state of interest but it requires expertise to comply with statistical thermodynamics principles, which permit a bridging of the microscopic and macroscopic scales.

Furthermore, for existing methods based on molecular dynamic or Monte Carlo methods, sampling efficiency should be improved, in particular for complex molecules like macromolecules, even if the alternate solution of running more simulations is still the leading choice as computer power increases.

With this second challenge, process engineering finds a new use for molecular modeling: it cannot be solely data-oriented, but also discovery-oriented and assumes its status of numerical experiment.

### 3.2.1.3

#### Molecular Modeling in a Multiscale Approach

The integration of molecular modeling in applicable models for the study of macroscopic systems and their properties is of the utmost importance for process engineering. Indeed, often considered as decisive, phenomena related to energetic interactions have often been left aside during a process study because of a lack of suitable tools or incorporated into parameters. Thermodynamic models used in phase equilibrium calculations are a good example: binary interaction parameters must be found empirically despite their solid physical meaning. The first illustrative example addresses the issue of calculating binary parameters by molecular modeling methods.

Process engineering models are knowledge-based models. In most domains, process study requires a multiscale approach. As a technique of experimentation, molecular modeling makes it possible to visualize on a molecular scale physicochemical phenomena. It can thus be used to develop or revisit theories, models or parameters of models and therefore improve our knowledge of processes and increase the capacity of predictions and extrapolation of existing models.

### 3.3

#### Statistical Thermodynamic Background

##### Suggested Readings

- 1 *McQuarry D. A.* Statistical thermodynamics. Harper and Collins. New York, 1976. ISBN 0060443669
- 2 *Allen M. P. Tildesley D. J.* Computer Simulation of Liquids. Oxford University Press, Oxford, UK, 1987. ISBN 0198556454
- 3 *Frenkel D, Smit B* Understanding Molecular Simulation. From Algorithms to Applications. Academic Press, San Diego, 1996. ISBN 0122673700

#### 3.3.1

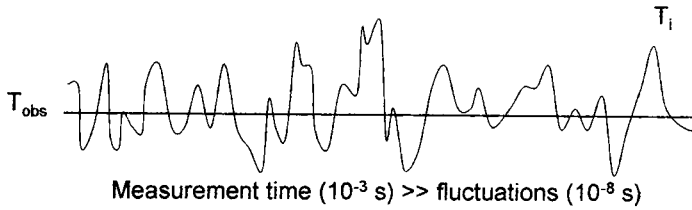
##### A Microscopic Description of Macroscopic Properties

Traditional thermodynamics and statistical thermodynamics address the same problems but differ in their approach: thermodynamics provides general relations without any consideration of the intrinsic constitution of the matter, while statistical thermodynamics supposes the existence of atoms, molecules, and particles to calculate and interpret thermodynamic properties at the molecular level.

The objective of statistical thermodynamics is to describe the behavior of a macroscopic system in terms of microscopic properties of a system of molecular entities.

The main idea is to evaluate an average property value and its standard deviation from a statistically significant number of configurations, much like a real experiment. Indeed, the temperature reading on a thermometer appears falsely constant. At the molecular level, a positive temperature is the result of atomic vibrations and collisions occurring on a time scale (e.g.,  $10^{-8}$  s.) much lower than the sampling period of the experimental sensor (e.g.,  $10^{-3}$  s.) (Fig. 3.2). Using statistical thermodynamic concepts, molecular simulation will do the same and perform a numerical experiment. Each instantaneous configuration (atomic positions and moments) of the system exists according to a probability distribution. The most probable will have the largest contribution to the computed average value. For the experimental system the macroscopic property  $X$  value is a time-average over a set of configurations  $\Gamma(t)$  sampled during the measurement time  $t_{\text{meas}}$ :

$$X_{\text{macro}} = \overline{X(\Gamma(t))}_{\text{time}} = \lim_{t_{\text{meas}} \rightarrow \infty} \frac{1}{t_{\text{meas}}} \int_0^{t_{\text{meas}}} X(\Gamma(t)) dt \quad (1)$$



**Figure 3.2** Measurement of a “mean” temperature and its relation with instantaneous temperature

But knowing all configurations  $\Gamma(t)$  is impractical because the number of particles ( $6.023 \times 10^{23}$  for a mole) and thus the number of positions and moments are incommensurable. Statistical thermodynamics was developed to solve this problem statistically.

The first postulate of statistical thermodynamics is that “*the value of any macroscopic property is equal to its average value over a sample of the model system configurations,*” as shown here:

$$\overline{X(\Gamma(t))}_{\text{time}} = \langle X \rangle_{\text{ensemble}} = \frac{1}{\tau_{\text{total}}} \sum_{\tau=1}^{\tau_{\text{total}}} X(\Gamma(\tau)) \quad \text{First postulate} \quad (2)$$

where  $\tau_{\text{total}}$  is the number of sampled configurations. The notation  $\langle \rangle_{\text{ensemble}}$  refers to a statistical ensemble. By definition it consists in a significant number of subensembles having the same macroscopic properties. The thermodynamic state of a macroscopic system is perfectly specified by a few parameters, for example the number of moles  $N$ , the pressure  $P$ , and the temperature  $T$ . From them, one can derive a great number of properties (density, chemical potential, heat capacity, diffusion coefficient, viscosity coefficient, etc.) through equations of state and other thermodynamic relations. Reproducing conditions occurring in experiments, the “canonical”  $NVT$ , and the “isobar-isothermal”  $NPT$  ensembles are quite useful. The notations  $NVT$  and  $NPT$  mean, respectively, that the number of moles  $N$  + volume  $V$  + the temperature  $T$  and the number of moles  $N$  + the pressure  $P$  + the temperature  $T$ , are kept constant for each system configuration during simulations run in those ensembles.

One considers that the postulate of statistical thermodynamics applies during simulations in a statistical ensemble on systems with a few thousands of particles replicated by periodic boundary conditions and that averages are made on a few million configurations. The sampling size and quality are often the Achilles’ heel of molecular simulations.

### 3.3.2

#### Probability Density

Equation 2 states that configurations have the same weight, on average, and the same probability of existence. This is the second postulate of statistical thermodynamics: “*All the accessible and distinct quantum states from a closed system of fixed energy*

(‘microcanonical’ NVE) are equiprobable.” Equation 2 is therefore rewritten:

$$\overline{X(\Gamma(t))}_{\text{time}} = \langle X \rangle_{\text{ensemble}} = \sum_{\Gamma(\tau)} \left( X(\Gamma(\tau)) \cdot \rho_{\text{ensemble}}(\Gamma(\tau)) \right) \quad \text{Second postulate} \quad (3)$$

where  $\rho_{\text{ensemble}}(\Gamma)$  is the probability density, which is the probability of finding a configuration with positions and moments  $\Gamma(\tau)$ . In the NVT ensemble, any configuration probability density is connected to its energy  $E$  and to  $Q_{\text{NVT}}$ , the total partition function, namely the sum over *all* configurations, by the Boltzmann formula:

$$\rho_{\text{NVT}} = \frac{1}{Q_{\text{NVT}}} \exp\left(\frac{-E}{k_{\text{B}}T}\right) \quad (4)$$

Two points are noteworthy:

1. The knowledge of the partition function allows the calculation of all thermodynamic properties. But this can never be done fully but rather imperfectly through the generation of a statistically representative number of configurations.
2. A model is required to evaluate any configuration energy in order to calculate the partition function. This is done through a force field.

### 3.3.3

#### Average, Fluctuations, and Correlation Functions

Equation 2 is the usual mean formula to calculate an average value (molar fractions, etc.). Other properties (heat capacity, etc.) are calculated from the variance expressing the fluctuations around the mean:

$$\sigma_X^2 = \frac{1}{\tau_{\text{total}}} \sum_{\tau=1}^{\tau_{\text{total}}} \left( (X(\tau) - \langle X \rangle_{\text{ensemble}})^2 \right) = \langle X^2 \rangle - \langle X \rangle^2 \quad \text{Variance} \quad (5)$$

Correlation coefficients give access to properties describing the dynamic state of the system. The nonnormalized form of the correlation coefficient over  $\tau$  configurations is:

$$\text{correl}_{XX}(\tau) = \langle X(\tau) \cdot X(\tau_0) \rangle = \frac{1}{\tau_{\text{total}}} \sum_{\tau_0=1}^{\tau_{\text{total}}} X(\tau_0) \cdot X(\tau_0 + \tau) \quad \text{Correlation coefficient} \quad (6)$$

The integration of the nonnormalized correlation coefficients enables one to directly calculate macroscopic transfer coefficients (diffusion, viscosity, or the thermal diffusivity coefficient). Their Fourier transform can be compared with experimental spectra.



## 3.3.4

**Statistical Error**

Molecular simulation is a numerical experiment. Consequently, the results are prone to systematic and statistical errors. The systematic errors must be evaluated, and then eliminated. They are caused by size effects, bad random number generation, and insufficient equilibration period (see below). Statistical errors are inversely proportional to sampling and are thus zero for infinite sampling.

On the assumption that the Gauss law applies, the statistical error is the variance (Eq. (5)). However, sampling a large but finite number of configurations induces a correlation between the  $\tau_{\text{total}}$  configurations that persist during a certain number of successive configurations. A statistical factor of inefficiency  $s$  is introduced to evaluate the number of correlated successive configurations. The  $\tau_{\text{total}}$  configurations are cut into  $n_b$  blocks of  $\tau_b$  configurations upon which the average  $\langle X \rangle_b$  and its variance  $\sigma^2 \langle X \rangle_b$  are computed. By selecting several increasing values of  $\sigma_b$ , the statistical inefficiency  $s$  and the statistical error  $\sigma^2 \langle X \rangle_{\text{total}}$  is evaluated:

$$s = \lim_{\tau_b \rightarrow \infty} \frac{\tau_b \cdot \sigma^2 \langle X \rangle_b}{\sigma^2 \langle X \rangle_{\text{total}}} \quad (7)$$

$$\sigma \langle X \rangle_{\text{total}} = \sqrt{\frac{s}{\tau_{\text{total}}}} \cdot \sigma \langle X \rangle_{\text{total}} \quad (8)$$

## 3.4

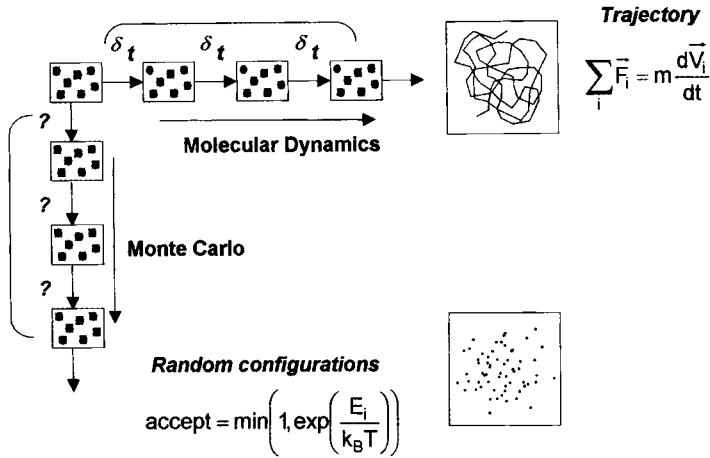
**Numerical Sampling Techniques**

The generation of a statistically representative sample of the model system configurations is mainly done by two techniques: molecular dynamics and the Monte Carlo method. They obey the principles summarized in Fig. 3.3. Both methods differ in their applications. The Monte Carlo method is adapted for the study of static phenomena (equilibrium and static interface) while molecular dynamics is suitable for the study of dynamic phenomena (shear induced flow). A phase equilibrium easily computed using Monte Carlo methods would be difficult to reach in molecular dynamics because of the time needed and of boundary effects near the interface.

## 3.4.1

**Molecular Dynamics**

Molecular dynamics generates a trajectory by integrating the classical equation of motion over time steps  $\delta t$  starting from an initial configuration whose particle positions and velocity are known (Fig. 3.3). The  $n^{\text{th}}$  configuration can be traced down the initial one by reverse integration. In the equation of motion (Fig. 3.3), the forces  $F_i$  acting on the particle of mass  $m_i$  are equal to the derivative of the  $V_i(r)$  potential describing the interactions of the particle  $i$  with its surroundings.



**Figure 3.3** Basic concepts of the Monte Carlo method and molecular dynamics

The integration of the differential set of equations is carried out mainly by Verlet-like algorithms rather than by Gear-like algorithms, which are widespread in process engineering. The Verlet algorithm calculates the new particle positions  $r(t)$  using a 3<sup>rd</sup> order Taylor expansion and replacing the second derivative by the forces thanks to the equation of motion, one gets a formula with no velocity term:

$$r(t + \delta t) = 2r(t) - r(t - \delta t) + \frac{F(t)}{m} \cdot \frac{\delta t^2}{2!} + O(\delta t^4) \quad (9)$$

Velocities are computed afterwards:

$$v(t) = \frac{dr(t)}{dt} = \frac{r(t + \delta t) - r(t - \delta t)}{2\delta t} + O(\delta t^2) \quad (10)$$

This algorithm shows several interesting characteristics: (1) It is symmetrical with regards to  $\delta t$ , which makes the trajectory reversible over the time. (2) It preserves the total energy of the system over long periods of integration, a key point to get long trajectories and deduce with accuracy some correlation functions. In particular, it is more precise than the Gear-like algorithms for large  $\delta t$  (the reverse is true for small  $\delta t$ ) making it suitable to simulate long trajectories, which is our goal. (3) It requires less data storage than Gear-like algorithms.

Transport coefficients (self-diffusion, thermal diffusivity, and viscosity) are computed from autocorrelation coefficients, the “Green-Kubo” formulas, for instance, the coefficient of self diffusion  $D_i$  is related to the relative particle velocities:

$$D_i = \frac{1}{3} \int_0^\infty \langle v_i(\tau) - v_i(0) \rangle d\tau \quad (11)$$

Similarly, viscosity is obtained from the shear stress tensor autocorrelation coefficient related to the pressure exerted on the particle and the thermal diffusivity is obtained from the energy flow autocorrelation coefficient.

A challenge with molecular dynamics run in a statistical ensemble where the temperature is set constant, is keeping it constant when moving and interacting particles inevitably heat the system. A solution is to place the system in a large thermostated bath periodically set in contact with the model system through techniques like the Andersen or Nose-Hoover methods.

### 3.4.2

#### Monte Carlo Method

The Monte Carlo method generates system configurations randomly. The  $n^{\text{th}}$  configuration is related to its preceding one but it is impossible to go back to the initial configuration.

First of all, randomness is particularly critical and has given its name to the method in reference to the Monte Carlo casino. The advice is to always use a published robust random number generator and never try to build one or use the falsely random precompiled “Ran” function on a computer. Systematic deviation and repetitive sequences can be checked by running simple tests.

The second key issue is sampling (Fig. 3.4). Uniform sampling allows a good estimate of the partition function needed to compute all macroscopic properties, but at the expense of sampling high energy and thus improbable configurations. Preferential sampling (or metropolis sampling) samples the configurations with the largest contribution in the calculation of the partition function and of averages.

The disadvantage of metropolis sampling is that the partition function (equivalent to the surface under the curve) is no longer correctly evaluated. Thus, the question arises of finding how to generate the configurations with a correct probability distribution without having to calculate the function of partition that occurs in the definition of the probability density (Eq. (4)). The solution is to obey the microscopic law of reversibility.

Given an old ( $o$ ) and a new ( $n$ ) configuration, their probability densities  $\rho$  are proportional to  $\exp(-E_{(o)}/k_B T)$  and  $\exp(-E_{(n)}/k_B T)$  in the  $NVT$  ensemble (Eq. (4)). Defining the transition probability  $M(o \rightarrow n)$  of going from ( $o$ ) to ( $n$ ), the microscopic reversibility states that at equilibrium the number of transitions from ( $o$ ) to ( $n$ ) and from ( $n$ ) to ( $o$ ) corrected by the probability densities must be equal.

$$\rho_{NVT}^{(o)} \cdot M(o \rightarrow n) = \rho_{NVT}^{(n)} \cdot M(n \rightarrow o) \quad \text{microscopic reversibility} \quad (12)$$

In addition, an acceptance criterion is introduced  $\text{acc}(o \rightarrow n)$  along with  $\alpha(o \rightarrow n)$  an *a priori* probability of trying to go from ( $o$ ) to ( $n$ ), which is supposed to be symmetrical ( $\alpha(o \rightarrow n) = \alpha(n \rightarrow o)$ ):

$$M(o \rightarrow n) = \alpha(o \rightarrow n) \cdot \text{acc}(o \rightarrow n) \quad (13)$$

Then by exploiting the symmetry of  $\alpha$ , Eq. (12) becomes:

$$\frac{\text{acc}(o \rightarrow n)}{\text{acc}(n \rightarrow o)} = \frac{\rho_{NVT}^{(n)}}{\rho_{NVT}^{(o)}} = \exp\left(\frac{-(E_{(n)} - E_{(o)})}{k_B T}\right) \quad (14)$$

In this equation, the partition function that is so difficult to calculate no longer appears.

The metropolis idea is to choose  $\text{acc}(o \rightarrow n)$  asymmetrically. As indicated in Fig. 3.5:

- If the new configuration energy is lower than the old one, the transition is always accepted;
- If the new configuration energy is higher than the old one, one picks a random number  $\zeta$  between 0 and 1:
  - if  $\zeta = \zeta_1 \leq \exp(-(E_{(n)} - E_{(o)})/k_B T)$ , the transition is accepted,
  - if  $\zeta = \zeta_1 > \exp(-(E_{(n)} - E_{(o)})/k_B T)$ , the transition is rejected.

Applied to Eq. (14), this acceptance criterion enables one to define the acceptance probability of a random displacement in general and in the  $NVT$  ensemble:

$$P_{\text{acc,displacement}} = \min\left(1, \frac{\rho^{(n)}}{\rho^{(o)}}\right) \xrightarrow{\text{in } NVT} \min\left(1, \exp\left(\frac{-\Delta E}{k_B T}\right)\right) \quad (15)$$

Last, a symmetrical  $\alpha(o \rightarrow n)$  is chosen to allow a sampling effective in terms of acceptance and efficient in terms of the configuration space. Usually one defines a maximum value associated with the transition, like a maximum displacement  $d_{\text{max}}$  that is fixed in order to satisfy a rate of 50 % of accepted transitions. If several movements are possible (e.g., translation, rotation, and volume), the type of movement will be chosen randomly from a predetermined statistical distribution. Again, one insists on the randomness of the choices of particle and of the type of movement in order to respect the microscopic reversibility.

In ensembles other than  $NVT$ , probability densities are corrected with respect of the microscopic reversibility law.

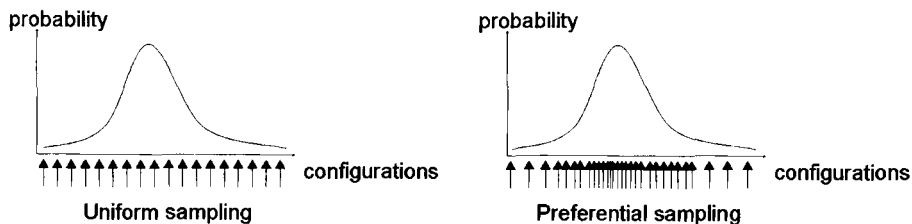


Figure 3.4 Uniform and preferential sampling

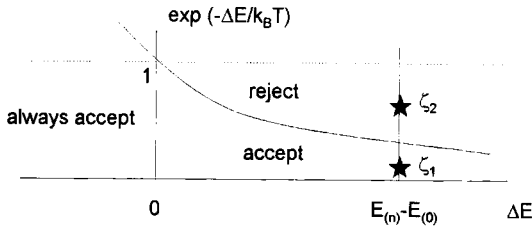


Figure 3.5 Metropolis preferential sampling. Criterion of acceptance

### 3.4.3

#### Phase Equilibrium Calculations using Gibbs Ensemble Monte Carlo

The Gibbs ensemble was developed by Panagiotopoulos in 1987 to simulate vapor-liquid equilibria. Simulations are carried out in a  $NVT$  ensemble on two microscopic boxes located within two homogeneous phases far from any interface. Each box is simulated with periodic boundary conditions. Constant total volume  $V$  and total  $N$  particles are divided between the two phases  $V_1, N_1$  and  $V_2, N_2$ .

The temperature is set constant in the simulations and random movements are performed to satisfy the phase equilibrium conditions as described in Fig. 3.6:

- Displacements (translation, rotation) within each phase to ensure minimal internal energy;
- A change of volume proportional between the phases:  $\Delta V_1 = -\Delta V_2$  so that the total volume is constant. This should satisfy the pressure equality.
- Transfer of the particle from one box to the other to equalize the chemical potentials.

The acceptance probabilities of the various movements in the case of a single component system are given below.

For the translation in each area:

$$P_{\text{acc,translation}} = \min \left( 1, \exp \left( \frac{-\Delta E}{k_B T} \right) \right) \quad (16)$$

For the change of volume,  $V_1$  is being increased by  $\Delta V$  and  $V_2$  is being decreased by just as much:

$$P_{\text{acc,volume}} = \min \left( 1, \exp \left( \frac{-\Delta E_1}{k_B T} - \frac{\Delta E_2}{k_B T} + N_1 \ln \frac{V_1 + \Delta V}{V_1} + N_2 \ln \frac{V_2 - \Delta V}{V_2} \right) \right) \quad (17)$$

with  $\Delta V$  chosen by generating a uniform random number  $\zeta$  between 0 and 1;  $\delta V_{\text{max}}$  being the change of maximum volume adjusted to obtain a fixed percentage (e.g., 50%) of acceptance of the move:

$$\Delta V = \zeta \cdot \delta V_{\text{max}} \cdot \min(V_1, V_2) \quad (18)$$

For the transfer of a particle of area 2 to area 1:

$$P_{\text{acc,transfert}} = \min \left( 1, \frac{N_2 \cdot V_1}{(N_1 + 1) \cdot V_2} \exp \left( \frac{-\Delta E_1}{k_B T} - \frac{\Delta E_2}{k_B T} \right) \right) \quad (19)$$

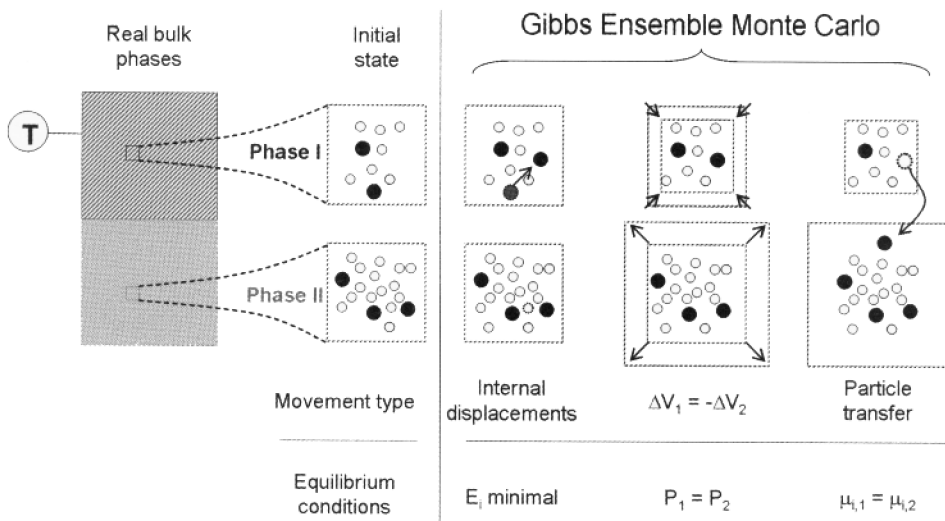
One of the main difficulties of the Gibbs ensemble Monte Carlo method resides in the transfer of particles to satisfy the chemical potentials equality because of the difficulty to insert polyatomic molecules in the dense phase. An alternative is to seek open spaces where insertion is eased into the particle. This affects randomness and introduces a statistical bias like the configurational bias method, which consists of inserting segment by segment a molecule in a phase. The probability of acceptance of the transfer of the particle represented by Eq. (19) is then modified by introducing the energy differences  $\Delta E_i$  into weighting factors  $W_i$  that represent the total energy of interaction with the surroundings of the inserted molecule. For an  $L$  segment molecule inserted in  $m$  possible directions:

$$P_{\text{acc,transfert}} = \min \left( 1, \frac{N_2 \cdot V_1 \cdot W_1}{(N_1 + 1) \cdot V_2 \cdot W_2} \right) \quad (20)$$

$$W_1 = \prod_{j=1}^L \left( \sum_{i=1}^m \exp \left( \frac{-E_{1j}^i}{k_B T} \right) \right) \quad (21)$$

More generally, the introduction of a bias consists of defining an *a priori* probability  $\alpha(o \rightarrow n)$ , which is no longer symmetrical. Equations 14 and 15 become:

$$\frac{\text{acc}(o \rightarrow n)}{\text{acc}(n \rightarrow o)} = \frac{\alpha(n \rightarrow o) \cdot \rho^{(n)}}{\alpha(o \rightarrow n) \cdot \rho^{(o)}} \quad (22)$$



**Figure 3.6** Principles of phase equilibrium simulations in the Gibbs ensemble

$$P_{\text{acc,mouvement}} = \min \left( 1, \frac{\alpha(n \rightarrow o) \cdot \rho^{(n)}}{\alpha(o \rightarrow n) \cdot \rho^{(o)}} \right) \quad (23)$$

To conclude this section, both molecular dynamics and Monte Carlo methods require the calculation of the interaction energy; for molecular dynamics to derive forces exerted on the system particles and for the Monte Carlo method to calculate the acceptance criterion. The following section reviews the main features of the force fields enabling to calculate intra and intermolecular interaction energies.

### 3.5 Interaction Energy

#### Suggested Readings

- 1 *Leach A. R. Molecular Modelling, Principles and Applications.* Longmann, Harlow, UK, 1996
- 2 *Karplus M. Porter R. N. Atoms and Molecules.* Benjamin inc., New York, 1970

#### 3.5.1 Quantum Chemistry Models

Quantum chemistry models are never used alone in molecular simulation because of the still prohibitive computation time. However, they must be considered as they can provide less-sophisticated molecular mechanics models with partial electronic charges and various dipoles useful for computing Coulombic and dipolar interactions. They can also provide accurate spring constant values describing the bonding intramolecular interactions associated with the various oscillatory modes within the molecules (stretching, bending, and torsion).

In quantum chemistry, only atomic nuclei surrounded by revolving electrons are considered. Calculations provide the nuclear and electronic properties system and the true total energy of the system. Total energy is related to the general time dependent wave function  $\Psi(r, t)$  by means of the generalized Schrödinger equation:

$$H \cdot \psi = E \cdot \psi \quad (24)$$

where  $H$  is the Hamiltonian, a mathematical operator with kinetic and potential energetic contributions. Apart from an analytical solution for the sole hydrogen atom, Schrödinger equation solutions are always approximate to some degree because a compromise must be made between computation time and accuracy. Three levels of approximation are considered, namely ab initio methods, mean field methods, like density functionnal theory (DFT), and semiempirical methods.

Among ab initio methods, configuration interaction (CI) methods are the most accurate, but the slowest. Calculated energy values have a precision comparable with

experimental ones (0.001 eV). CI solutions are obtained by minimizing a linear combination of the wave functions associated with the system fundamental state and all excited states.

The self consistent field molecular orbital concept considers atomic orbitals that represent wave functions of electrons moving within a potential generated by the nucleus and by an average effective potential generated by the other electrons. The best such wave functions are Hartree-Fock wave functions and solve the Schrödinger equation for a given electronic configuration (e.g., the fundamental state) without any empirical parameter. They can be used for CI calculations. Atomic orbital wave functions are approximated using Gaussian functions, which leads to peculiar denominations like STO-3G Hartree-Fock calculations (use of a basis set of three Gaussian functions). The larger the basis set, the longer and the more accurate the calculation.

The semiempirical methods are the most approximated quantum methods: Hückel calculations can be done on a sheet of paper; finer semiempirical models enable one to obtain with good precision the ionization energy, optimal conformations, and electronic surface potential. However, they present the disadvantage of calculating the wave functions approximately by replacing various integrals by fitted empirical parameters.

Between the two levels of approximation, one finds the mean field methods of the popular density functional theory. The idea is rather than to seek to solve the exact Hartree-Fock problem in an approximate way, one could seek to solve an approximate problem in an exact way. That consists of modifying the Hamiltonian operator and replacing the term of exchange of correlation accounting for multiatomic orbital interactions by the electronic density  $\rho$ . The results are obtained with satisfactory accuracy and much faster than Hartree-Fock calculations, enabling one to even study periodic systems of interesting size.

Nevertheless, all quantum mechanical calculations are performed for a static configuration of the system under 0 K conditions. But as a provider of key properties like electronic distribution, they should be systematically used in any molecular simulation aiming to be quantitative.

### 3.5.2

#### **Molecular Mechanics Models**

Molecular simulation uses molecular mechanics models to calculate the internal energy of the system. It considers that the molecules can be represented by centers of forces like beads and the bonds can be represented by springs (Fig. 3.7). As Fig. 3.7 shows, the total internal energy is the sum of intramolecular or bonding interactions and of intermolecular or nonbonding interactions. The set of molecular mechanics parameters is called a force field.

Intramolecular energy takes into account vibration phenomena between bonded centers of forces. As the beads and spring model suggests, they are described by harmonic functions and handle stretching, bending, torsion as well as improper rotation



if needed. Average parameters  $l_0$ ,  $\phi_0$  and harmonic constants  $k_i$  and are usually fitted to accurate vibration energy calculations made with quantum mechanical methods.

Intermolecular energy takes into account the two-body interactions between the centers of forces. Three-body interactions are rarely included. Short range interactions can be described by a van der Waals potential modeled by a 12-6 Lennard-Jones function. The  $1/r^{12}$  term represents the repulsive contribution, which becomes significant below 3 Å. The  $1/r^6$  term represents the attractive contribution related to the dispersive effect of induced dipoles. More rigorous forms may include  $1/r^8$  or  $1/r^{10}$  terms or other functional forms (Buckingham potential, "exponential-6" potential, etc.).

Electrostatic interactions are a major contribution to intermolecular energy as they are long-range interactions felt up to a distance of 25 Å for multicharged ions. Permanent dipole and multipole are rarely included, but Coulombic interactions related to partial atomic charges  $q_i$  and  $q_j$  are a must. All electronic parameters (dipoles, partial charges) should be fitted to electronic surface potentials computed by quantum mechanics to improve quantitative predictions of molecular simulations.

Hydrogen bonding interactions are either modeled explicitly by a 12-10 Lennard-Jones function or assumed to be implicitly taken into account in the van der Waals interaction.

The functional form of the molecular mechanics energy shows that it is not a true energy that can be measured experimentally. Rather, for a single molecule, it is zero at its most stable conformation, whereas true zero energy corresponds to the protons, neutrons, and electrons infinitely split apart. Molecular mechanics predictions of conformations are in excellent agreement with experimental ones. Nevertheless, the practical use of molecular mechanics is great because for a system of several molecules, it computes the thermodynamic internal energy from which many interesting properties can be derived.

Force fields can be of all-atoms (AA) type, as shown in Fig. 3.7, in which there is a center of force on each atom. Their names are Dreiding, Universal Force Field, Compass, OPLS, etc. But other types exist where atoms are grouped (e.g.,  $-\text{CH}_3$ ) under a single center of force in order to reduce the computing time of short range interactions. This leads to united atoms (UA) force fields.

In all cases, long-range electrostatic interaction is split in as many centers as possible, usually on all atoms and sometimes on virtual centers. A similar idea is at the origin of polarizable force field like the anisotropic united atoms (AUA), which intends to take into account the electronic cloud shift when two particles approach: the charged center is displaced along the resultant of the nearby bonds.

Since all intramolecular parameters and electrostatic parameters are systematically derived from quantum mechanical calculations, molecular simulations using molecular mechanics force fields have greatly improved their accuracies. However, even if for a particle  $i$ , Lennard-Jones parameters  $\sigma_i$  and  $\epsilon_i$  are, respectively, associated with the collision diameter (the distance for which energy is null) and with the potential well. They must still be fitted to some extent, as will be shown later using experimental data (enthalpies, formation energies, densities, etc.).

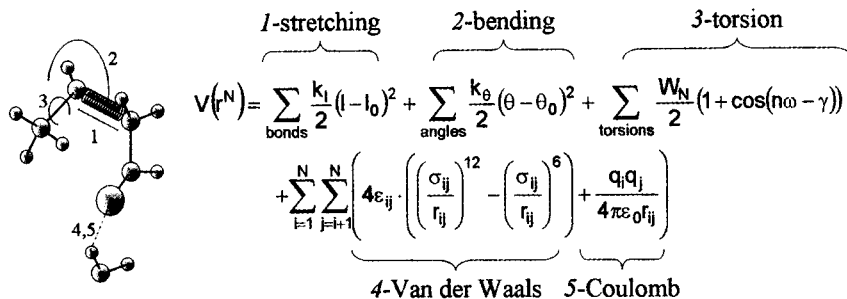


Figure 3.7 Typical molecular mechanics force field.

For multi component systems, the diameter  $\sigma_{ij}$  and the energy parameter  $\varepsilon_{ij}$  are obtained from pure substances using traditional mixing rules like those of Lorentz-Berthelot:

$$\sigma_{ij} = \frac{\sigma_i + \sigma_j}{2} \quad \text{and} \quad \varepsilon_{ij} = \sqrt{\varepsilon_i \cdot \varepsilon_j} \quad (25)$$

These very simple rules have rarely been questioned, more proof of the strong physical basis of molecular simulation. Furthermore, they highlight that the study of a system with  $M$  different centers of forces only requires the knowledge of  $2M$  parameters, whereas a traditional approach with a thermodynamic model with binary interaction parameters would require  $M(M+1)/2$  such parameters.

Even if the main functional forms of the potentials (stretching, bending, torsion, van der Waals and Coulomb) are present in all quoted force fields, the choice must be made by knowing the type of experimental data used to regress the Lennard-Jones parameters and the way electrostatic interaction are described. Mixing Lennard-Jones parameters from several force fields without any confrontation to experimental data is acceptable only if qualitative results are sought.

### 3.6 Running the Simulations

How should one represent the behavior of macroscopic systems when the model system typically contains only a few thousand particles? The problem is solved by adopting periodic boundary conditions that duplicate in all directions identical images of the model system. In molecular dynamics, care should be taken that any particle moving through one wall of the main image will reenter at the opposite wall with the same velocity.

Notice that the interaction energy of a particle must include interaction with all included replicated particles. However, for long-range interactions this would require too much computer effort and limiting techniques are implemented: rough ones, such as a “cutoff” distance beyond which the interaction is supposed to be null, or more accurate ones like the Ewald summation. In the case of a cutoff, it is necessary to include long range corrections.

The initial particles in the box are usually set along a periodic network to avoid overlaps that would result in an infinite energy. Then, a statistical ensemble and a sampling technique are chosen. Force field parameters are associated with all force centers and for molecular dynamics simulations, a statistical distribution of initial velocities is set. Finally, the simulation is launched. It consists of a phase of equilibration and a phase of production. The purpose of the phase of equilibration is to bring the system from an initial configuration to a configuration representative of the system: random distribution of the molecules and the velocities within a system with imposed thermodynamic conditions (that of the chosen statistical ensemble). In molecular dynamics under fixed temperature  $T$ , the system is gradually heated to the  $T$  set value.

The phase of production starts when key properties like potential energy, pressure, and density fluctuate over mean values. Each configuration then generated is kept to calculate the macroscopic properties from averages of fluctuation to coefficients of correlation. As statistical error decreases when the number of configuration increases, at least  $10^6$  configurations should be generated.

### 3.7 Applications

Vapor-liquid equilibrium calculations are a major field of investigation because of the importance of processes like distillation. Too often, data are missing. We present two approaches that use molecular modeling to obtain such data. The first example aims at computing binary interaction parameters occurring in the UNIQUAC activity coefficient model. The second example directly computes the equilibrium compositions using a Gibbs ensemble Monte Carlo method.

#### 3.7.1

##### Example 1: Validation of the UNIQUAC Theory

#### 3.7.1.1

##### Overview of UNIQUAC Model

The practical calculation of vapor-liquid equilibrium (Eq. (26)) involves an activity coefficient ( $\gamma_i$ ) to describe the nonideality of the liquid phase due to energetic interactions.

$$\gamma_i = \frac{\gamma_i(T, P, x_i) \cdot f_i^{0L}(T, P)}{\phi_i^V(T, P, y_i) \cdot P} \cdot x_i \quad \text{activity coefficient approach of phase equilibrium} \quad (26)$$

By applying the thermodynamic relation of Gibbs-Duhem, one connects the individual coefficients of activity  $\gamma_i$  with the excess gibbs energy  $G^E$ :

$$RT \ln \gamma_i = \left( \frac{\partial G^E}{\partial n_i} \right)_{T, P, n_j, i \neq j} \quad (27)$$

The UNIQUAC model proposes an expression for the  $G^E$  with two contributions: a combinatorial part that describes the dominant entropic contribution and a residual part that mainly occurs due to the intermolecular forces responsible for the mixing enthalpy. The combinatorial part is related to the composition  $x_i$  and to the molecule shape and size. It requires only pure component data. The residual part depends, in addition, on the interaction forces embedded into two binary interaction parameters  $A_{ij}$  and  $A_{ji}$ .

$$\frac{G^E}{RT} = \left( \frac{G^E}{RT} \right)_{\text{combinatorial}} + \left( \frac{G^E}{RT} \right)_{\text{residual}} \quad (28)$$

$$\frac{G^E}{RT} = f_{\text{combinatorial}}(x_i, r_i, q_i) + f_{\text{residual}}(x_i, q'_i, A_{ij}, A_{ji})$$

Parameters  $r_i$ ,  $q_i$ , and  $q'_i$  are molecular constants for each pure component  $i$ , related respectively to its size, its external geometrical surface, and its interaction surface.  $q'$  can be different from  $q$ , in particular for polar molecules. The model system upon which the UNIQUAC theory was developed considers interacting molecules. Then, the two binary interaction parameters  $A_{ij}$  and  $A_{ji}$  can be expressed in terms of interaction energies  $U_{ij}$  between dissimilar molecules  $i$  and  $j$ , and  $U_{ii}$  between similar molecules  $i$ :

$$A_{ij} = \exp\left(\frac{-\frac{1}{2}(U_{ij} - U_{jj}) \cdot N_A}{RT}\right) \quad (29)$$

$$A_{ji} = \exp\left(\frac{-\frac{1}{2}(U_{ji} - U_{ii}) \cdot N_A}{RT}\right)$$

where  $N_A$  is the Avogadro number.

The Wilson activity coefficient model also proposes two binary interaction parameters. It is a simplification of the UNIQUAC model in which parameters  $r$ ,  $q$ , and  $q'$  are all set to unity. Interaction surfaces are not taken into account and molar volumes are eliminated in the equation.

The relationship between the Wilson and UNIQUAC parameters is as follows:

$$A_{ji}^{\text{Wilson}} = A_{ji}^{\text{UNIQUAC}} q_i + T \cdot \ln \frac{V_j}{V_i} \quad (30)$$

where  $V_i$  and  $V_j$  are the molar volumes of components  $i$  and  $j$ .

The traditional approach consists of regressing  $A_{ij}$  and  $A_{ji}$  from experimental data, with all drawbacks associated with this approach: data specific parameters, poor extrapolation capacity, temperature and pressure dependency, and the need of experimental data. A few years ago, an attempt to directly calculate the binary interaction parameters was made and is reported below.

## 3.7.1.2

**Calculation Using Molecular Mechanics UNIQUAC Binary Interaction Parameters**

In 1994, Jonsdottir, Rasmussen and Fredenslund (1994) computed interaction energies between isolated couples of molecules. They used molecular mechanics models not in a molecular simulation perspective, but rather like a quantum mechanical approach. For a given orientation of the two molecules, an energy minimization was run to reach a stable conformation. Many orientations are selected and the mean interaction energy  $U_{ii}$  and  $U_{ij}$  is evaluated by weighting each value using its Boltzmann factor  $\exp(-U_{ij}/k_B T)$ .

This corresponds to a rough sampling, obviously not statistically representative as only a few hundred couples are investigated. This questions the validity of the first statistical thermodynamic postulate that equals the ensemble average and macroscopic time-average value. Rightfully, the authors claim to perform a molecular static approach in between quantum mechanics and molecular simulation approaches. The consistent force field parameters are optimized for the alkanes and ketones that are the molecules of interest but no value in particular and no partial atomic charge values are provided. Alkane conformers are taken into account, however, which is an advantage of molecular modeling approaches over classical parameter fitting.

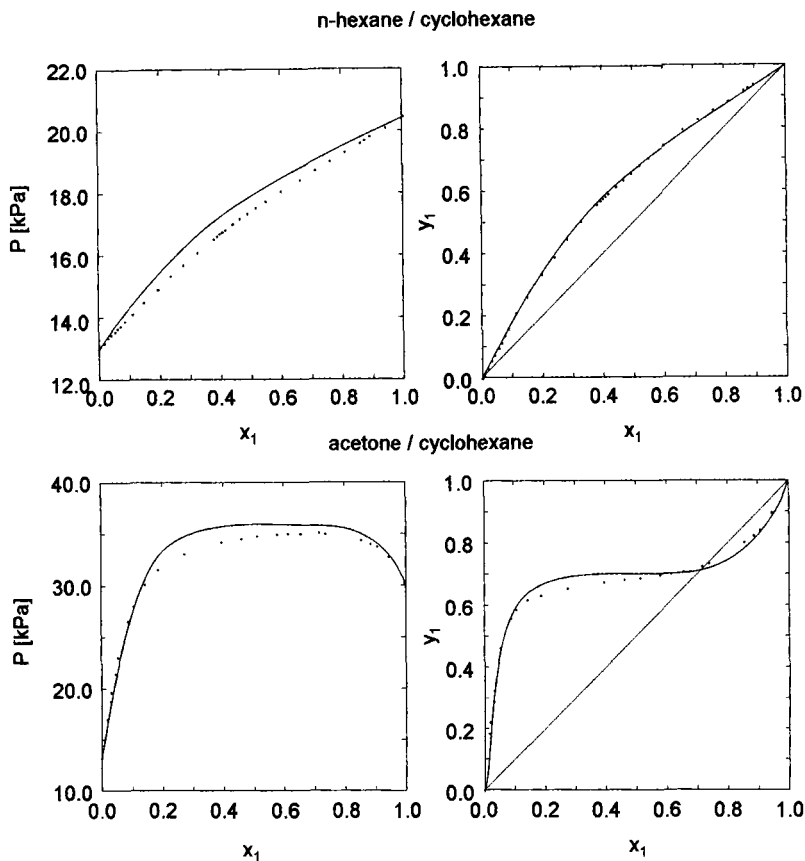
The  $U_{ii}$  and  $U_{ij}$  interaction energies are computed as the difference between the molecules couple energy and the energy of each isolated molecule:

$$U_{ij} = E_{i...j} - (E_i + E_j) \quad (31)$$

Simulation results are used with the UNIQUAC equation to predict vapor-liquid equilibrium data (Eq. (26)), which are compared with experimental ones:

- For the alkane/alkane systems (n-butane/n-pentane; n-hexane/cyclohexane (Fig. 3.8) and n-pentane/n-hexane), the relative error ranges from 1.1 to 4% for the pressure and the absolute error ranges from 0.011 to 0.042 for the molar fractions.
- For the alkane/ketones (n-pentane/acetone; acetone/cyclohexane (Fig. 3.8); cyclohexane/cyclohexanone), the relative error ranges from 4.3 to 17.6% for the pressure and the absolute error ranges from 0.016 to 0.042 for the molar fractions.

In conclusion, the error increases along with the molecule polarity. One may question the force field ability to handle electrostatic interaction in addition to likely insufficient sampling of the system configurations. Finally, the authors tested the Wilson model and found errors four times greater for the n-pentane/acetone system. They concluded that the UNIQUAC equation has a better physical basis than that of the Wilson equation. This result was foreseeable since the Wilson model is a simplified form of the UNIQUAC model.



**Figure 3.8** Bubble curve at 298.15 K for the n-hexane/cyclohexane (*top*) and acetone/cyclohexane (*bottom*) systems. *Solid line*: simulation. *Stars*: experimental data (reprinted from Jonsdottir, Rasmussen and Fredenslund (1994) with permission from Elsevier)

### 3.7.1.3

#### Ab Initio Calculation of UNIQUAC Binary Interaction Parameters

Compared to Jonsdottir, Rasmussen and Fredenslund's (1994) work, Sum and Sandler (1999) used quantum mechanics models to improve the representation of electrostatic interactions. Often in such calculations, no rigorous sampling is performed, the emphasis being made on minimizing the total system energy.

For each binary system, eight molecules are considered (four of each). A stable system conformation is found by minimization using semiempirical methods. Then the energy is minimized using ab initio methods (Hartree-Fock method with an extended basis set 6-311\*\*G(3d, 2p)). Couples of molecules are then isolated and their interaction energy is computed according to Eq. (31). The average interaction energy is computed at best on 10 pairs of molecules. Then binary interaction parameters are derived for the UNIQUAC and Wilson equation, which enables one to com-

pute vapor-liquid equilibrium data using Eq. (26). The systems studied are highly polar: water-methanol; water-ethanol; water-formic acid; water-acetic acid, and water-acetone. Simulation data are then compared with experimental data and with prediction using the activity coefficient group contribution method UNIFAC.

The results obtained for the Wilson model are never quantitative and are even qualitatively wrong as it does not manage to reproduce the azeotropic behavior of the water-ethanol mixture (Fig. 3.9). On the other hand, despite the poor sampling, simulations with the UNIQUAC model give good quantitative results, comparable with experimental data and UNIFAC predicted data. Two points are significant: no experimental data were used at any stage and no temperature or pressure conditions were set, which is an advantage over regressed binary parameters. Indeed, the same set of water-acetone parameters is used to generate accurate data over a large temperature and pressure range (Fig. 3.9).

In conclusion, when no experimental data are available, vapor-liquid equilibrium data can be predicted using UNIQUAC binary interaction parameters directly computed with molecular modeling methods. If the sampling issue is not yet settled, quantum mechanics methods, which accurately describe electronic distribution, have demonstrated their use, while force field approaches did not for polar systems.

The next example shows that accurate predictions can be made with carefully set force field approaches using efficient sampling of phase equilibrium systems thanks to the Gibbs ensemble Monte Carlo method.

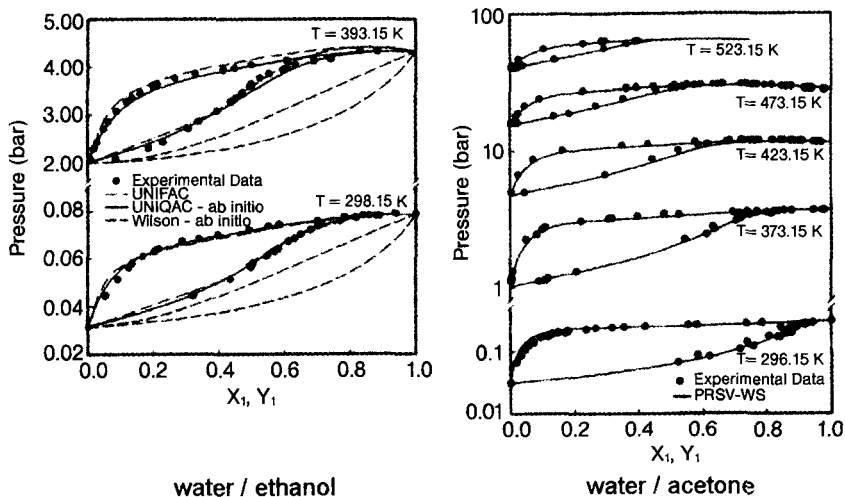


Figure 3.9 Vapor-liquid equilibrium at 298.15 K for the water-ethanol and water-acetone systems (reprinted with permission from Sum and Sandler (1999))

## 3.7.2

**Example 2: Direct Prediction of Nitrile Vapor-Liquid Equilibrium**

As highlighted before, the development of molecular simulation as a systematic provider of accurate physicochemical data is impeded by the availability of accurate force fields. In the 1980s, force fields were derived to reproduce physicochemical data of monophasic systems or devoted to macromolecules of biological interest (amino acids, proteins, etc.). But the simulation of multiphase systems was neglected until the Gibbs ensemble Monte Carlo method and the active development of new AA-, AUA-, and UA-type force fields like, OPLS by Jorgensen, Madura and Swenson (1984), Trappe by Martin and Siepmann (1998), NERD by Nath, Escobedo and de Pablo (1998), Exp6 by Errington and Panagiotopoulos (1999), and AUA by Toxvaerd (1990, 1997) added to this world effort.

As stated before, force field development consists of deriving short range van der Waals interaction parameters like the  $\sigma$  and  $\epsilon$  Lennard-Jones parameters. But the challenge is to obtain generic values that can be used for many molecules, much like in a group contribution approach, and for many properties with various sampling techniques, such as phase equilibrium data (using the Gibbs ensemble Monte Carlo method, transport coefficients, and molecular dynamics) and absorption isotherms (Monte Carlo). By comparison, no existing macroscopic model can compute such a wide variety of properties using so few parameters.

In the AUA4 model, generic parameters have been derived for linear, branched, and cyclic alkanes, aromatics, hydroxyl, carboxyl, and thiol groups (Delhommelle, Granucci, Brenner et al. 1999; Ungerer, Beauvais, Delhommelle et al. 2000; Delhommelle, Tschirwitz, Ungerer et al. 2000; Bourrasseau, Ungerer, Boutin et al. 2002; Bourrasseau, Ungerer and Boutin 2002). For the nitrile group  $-\text{C}\equiv\text{N}$ , we proceeded as follows (Hadj-Kali, Gerbaud, Joulia et al. 2003):

1. Quantum mechanics calculations using DFT for the acetonitrile molecule, for which many experimental data are available, to find a stable conformation, determine harmonic constants for the intramolecular contribution of the force field potential, and determine discrete partial atomic charges from quantum electrostatic surface potentials.
2. Setting up the acetonitrile ( $\text{CH}_3\text{CN}$ ) force field for which  $\text{CH}_3$  Lennard-Jones parameters are taken from the generic databank of the AUA4 force field. The same general expression shown in Fig. 3.7 is used.
3. Running Gibbs ensemble Monte Carlo simulations to identify missing ( $\epsilon_{\text{N}}$ ,  $\sigma_{\text{N}}$ ) and ( $\epsilon_{\text{C}}$ ,  $\sigma_{\text{C}}$ ) Lennard-Jones parameters of the nitrile group. The acetonitrile molecule is fully flexible and long-range electrostatic interactions were evaluated with a cutoff and tail corrections. Reference experimental data (Francesconi, Franck and Lentz 1975; Chakhmuradov and Guseinov 1984; Kratzke and Muller 1985; Warowny 1994) are the saturated vapor pressure  $\ln(P^{\text{sat}})$  at 433.15 and 453.15 K, the vaporization enthalpy  $\Delta H_{\text{vap}}$ , and the liquid density  $\rho_{\text{liq}}$  at 273.15, 298.15, 433.15, and 453.15 K. The optimization method is a simple gradient method and the objective function used is a square mean root function with uncertainty values set equal to 0.1 for  $\ln(P_{\text{sat}})$ , 1 kJ mol<sup>-1</sup> for  $\Delta H_{\text{vap}}$ , and 10 kg m<sup>-3</sup> for  $\rho_{\text{liq}}$ .



4. Once  $\alpha_N$ ,  $\sigma_N$ ) and  $\epsilon_C$ ,  $\sigma_C$ ) values reproduce acetonitrile data accurately, their genericity is evaluated by predicting, with no further parameter adjustment, vapor-liquid equilibrium data of other linear nitriles (propionitrile, butyronitrile). In these molecules  $\alpha_N$ ,  $\sigma_N$ ) and  $\alpha_C$ ,  $\sigma_C$ ) are taken as being equal to the values obtained for acetonitrile, whereas  $\text{CH}_2$  and  $\text{CH}_3$  Lennard-Jones parameters are extracted from the AUA4 databank. The harmonic constant, partial charges, and stable conformations are obtained from quantum DFT calculations.

DFT electrostatic surface potentials are fitted to partial atomic charges using the simple Mulliken population analysis, which equally splits the electronic distribution according to the van der Waals radius, or using the MEP method, which mimics the electrostatic potential surface with a least square method. As shown below, the MEP analysis gives the best results, but does not pass the genericity test. Each atom bears a partial charge.

All quantum calculated conformations and dipolar moments agree with experimental data (Goldstein, Buyong, Lii et al. 1996) and harmonic constants agree with literature reference values (Goldstein, Buyong, Lii et al. 1996; Ungerer, Beauvais, Delhommelle et al. 2000).

Parameter regression requires that each optimization cycle (two are used) performs 16 Gibbs ensemble Monte Carlo simulations to compute the gradients varying  $\{(\epsilon_N + \delta\epsilon_N, \sigma_N); (\epsilon_C, \sigma_C)\}$ ,  $\{(\epsilon_N + \delta\sigma_N); (\epsilon_C, \sigma_C)\}$ ,  $\{(\epsilon_N, \sigma_N); (\epsilon_C, \sigma_C + \delta\sigma_C)\}$ ,  $\{(\epsilon_N, \sigma_N); (\epsilon_C + \delta\epsilon_C, \sigma_C)\}$  for each of the four temperatures considered.

Each simulation takes 20 h on a Linux Pentium IV, 1.9 GHz with 512 Mb RDRAM. Equilibration period requires  $10^6$  configurations and the production period ranges from  $2.3 \times 10^6$  to  $4.5 \times 10^6$  configurations.

Results of the optimization of the Lennard-Jones parameters are shown in Table 3.1.

As indicated below (Fig. 3.10), the set of MEP parameters gives the best results for the acetonitrile with a mean standard deviation over all reference values of 1.9% and a very good estimate of the critical point. With an underestimation of the vapor densities, an overestimation of liquid densities at elevated temperature and a poor estimation of the critical point, the Mulliken set gives an error of 3.1%.

But MEP generic character is poor, whereas the Mulliken one is excellent for propionitrile and butyronitrile vapor pressure predictions (Fig. 3.11).

A possible explanation for the poor MEP predictions lies in the charge values computed for the propionitrile and n-butyronitrile (Hadj-Kali 2004). The least square fitting of the quantum calculated electrostatic potential surface has in that case led to unphysical values with positive nitrogen atomic charge, in contradiction with the well-known electronegativity character of this atom. Also, the MEP nitrile  $\sigma_C$  parameter value, which is too elevated compared to other  $\sigma_C$  values associated with other carbonated chemical groups of the AUA4 force field (Table 3.2). Correctly, the  $\sigma_C$  value with the Mulliken distribution follows a decreasing trend as the carbonated chemical group size decreases.

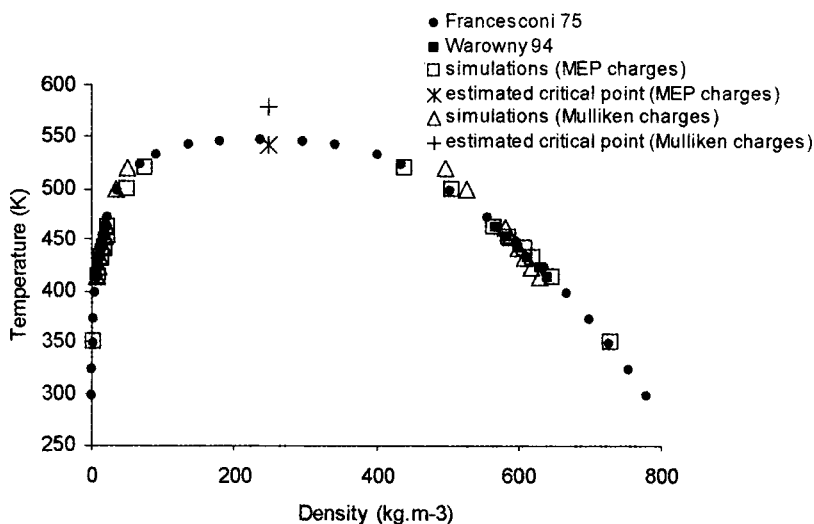
So, two criteria for a generic set of Lennard-Jones parameters are able to model the van der Waals interaction are: (1) physically meaningful values of the Lennard-Jones  $\sigma$  and  $\epsilon$  parameters and (2) physically meaningful set of atomic charges representing the electrostatic potential surface of the molecule. The importance of representation of the electrostatic potential surface has also been acknowledged in COSMO

approaches for the computation of physical properties, which recently won the first industrial fluid properties simulation challenge (Sandler 2003; Case, Chaka, Friend et al. 2004).

Critical points in Fig. 3.10 are obtained using the Ising method (Frenkel and Smit 1996). For direct simulations near the critical point, it is difficult to achieve convergence. As shown in Fig. 3.12 for the density versus configuration plot for a simple Lennard-Jones fluid, the fluctuations increase and boxes interchange as the reduced temperature nears 1. Experimental observations of a similar phenomenon are well known and demonstrate that the molecular simulation is indeed a numerical experiment that can not only compute accurate physicochemical data, but also behave as an efficient sensor of system behavior on a molecular scale.

### 3.8 Conclusions

Molecular modeling is an emerging discipline for the study of energetic interaction phenomena. A molecular simulation performs numerical experiments that enable one to obtain accurate physicochemical data provided sampling and energy force field issues are addressed carefully. Still computationally demanding, molecular modeling tools will likely not be used “online” or be incorporated in process simulators. However, rather like computer fluid dynamics tools, they should be used in parallel with existing efficient simulation tools in order to provide information on the molecular scale about energetic interaction phenomena and increase the knowledge of processes that must manufacture ever more demanding end products.



**Figure 3.10** Acetonitrile experimental and simulated vapor-liquid equilibrium

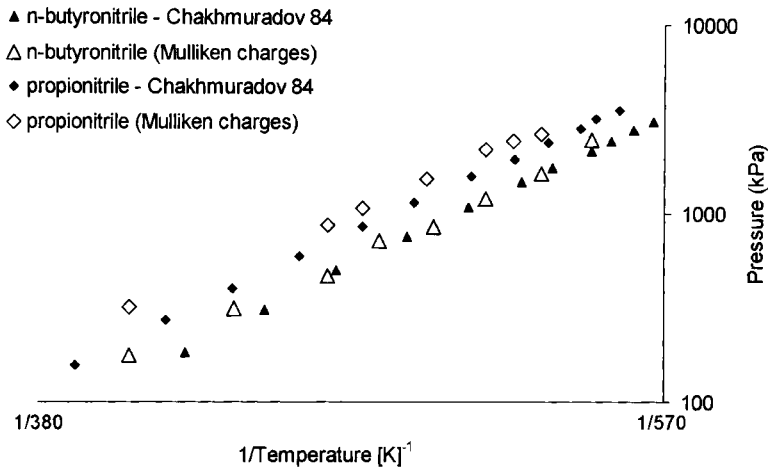


Figure 3.11 Predicted saturated vapor pressure for propionitrile and butyronitrile. Mulliken charges

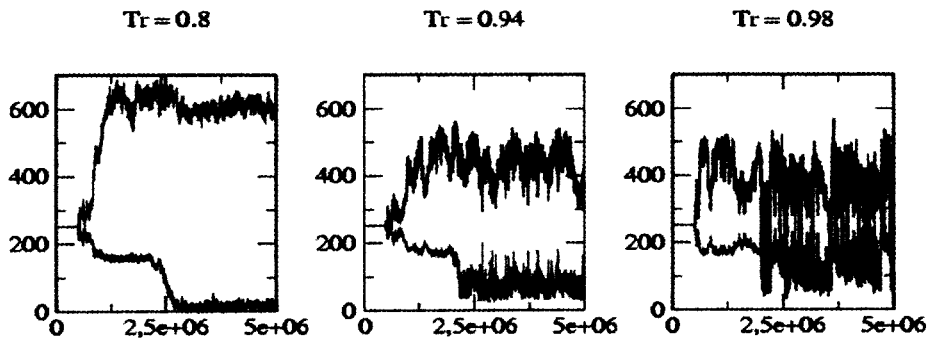


Figure 3.12 Density versus configuration number in the vicinity of the critical point for a Lennard-Jones fluid

Table 3.1 Optimal ( $\epsilon_N$ ,  $\sigma_N$ ) and ( $\epsilon_C$ ,  $\sigma_C$ ) parameter values for the nitrile group. Mulliken charges

	$\epsilon_C/k_B$ (K)	$\epsilon_N/k_B$ (K)	$\sigma_C$ (Å)	$\sigma_N$ (Å)
Charges MEP optimization	50.677	65.470	3.5043	3.3077
Charges Mulliken optimization	95.52	162.41	3.2183	3.5638

Table 3.2 Comparison of  $\sigma_C$  parameters for various chemical groups in the AUA4 force field

AUA4 chemical group	$-\text{CH}_3$	$=\text{CH}_2$	$\equiv\text{CH}$	$-\text{C}$ MEP charges	$-\text{C}$ Mulliken charges
$\sigma_C$ (Å)	3.6072	3.4612	3.3625	3.5043	3.2183

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