Optimization of Machining Conditions by Electrical Power

Abstract: Together with others, we reported research on parameter design using an L_{12} orthogonal array as a study of energy conversion at the initial stage to confirm additivity of measurements and effectiveness of energy evaluation. Test pieces of ferrous and copper materials were used. We obtained good reproducibility of gain using energy evaluation and satisfied quality characteristics as our final goal. However, due to the limited scale of our experiment, we could not investigate the details and left many issues behind in terms of machining efficiency and generality of research.

1. Functional Evaluation by Energy Conversion

To assess machining of stainless steel used for mass production, we conducted a practical experiment using an L_{18} orthogonal array. We surmised that there were certain technical issues because we have not been able to obtain satisfactory reproducibility, even though we have implemented several different analyses after encountering extremely poor reproducibility at first. Considering that there have been some problems with variability of energy during machine idling after referring to the research of Ford, which deals with energy evaluation during idle time, by adding electrical power during idling, we have analyzed the relationships among time, material removed, and electrical power by use of the SN ratio. For electrical power, we calculated the product of time and power as area so as to effectively reflect its variability. For a noise factor, we selected a difference between maximum and minimum electrical power. Using all of them, we computed SN ratios.

2. Generic Function

The objective of machining is to cut a product or part cost-effectively and accurately to realize a target

shape. Therefore, machining engineers select optimal conditions by changing conditions of machines and tools used or cutting conditions such as cutting or feeding speeds, and measuring eventual dimensions and roughness of a product or part. In contrast, the objective of machining evaluation by energy is to assess general functions of machines and secure final quality characteristics (machining accuracy or surface roughness).

As an effective evaluation method of cutting, including machine performance, we can pick up a change between electrical power supplied to a machine and power used during cutting. In other words, we assumed that cutting efficiency can be assessed by the relationship between time consumed for cutting and electrical power consumed by a machine. We concluded that unsatisfactory precision of work is caused by inefficient consumption of energy for a target material amount to be removed, due to a factor such as unevenness of material, tool condition, or cutting setup. Generic function 1 is expressed as $y = \beta_1 T$ by the relationship between cutting time, T, and electrical power, y. In this case, the greater the SN ratio and sensitivity, the better. Generic function 2 is expressed as $\sqrt{y} = \beta_2 \sqrt{M}$, where the amount removed is M and the power is y. For this, less sensitivity and a higher SN ratio are desirable. Figures 1 and 2 illustrate these relation-

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ships. The reason that we take the square root of both sides of an equation is that in quality engineering, factors are dealt with as energy in decomposing total variation.

Measurement and Experimental Procedure

A common manual lathe was used for this experiment. A wattmeter connected to a three-phase distribution board located at a power source for the lathe measured effective power (W) consumed for cutting. Figure 3 depicts the shape of a test piece. Its material is SUS 304. Two grooves were added



Figure 2 Generic function 2



Figure 3 Shape of the test piece

beforehand at the start and end points to clarify them, and the length between them was held constant. Figure 4 outlines the cutting processes. We regarded the electrical power needed to run a lathe after a test piece is chucked on it as idling power. Subsequently, we measured each level of power while cutting the area removed three times. Figure 5 shows a magnified plot of fluctuation in power for cutting run 1. l_{before} indicates a fluctuation of power during idling and h represents a fluctuation while cutting material by feeding a tool. Once cutting is completed, fluctuation goes down to l_{after} . Because l_{before} and l_{after} show power during idling, only h indicates total electrical power that a machine consumes for cutting and idling. Therefore, subtracting l_{before} and l_{after} from *h*, we can obtain the actual power needed for cutting. Although we do not illustrate plots for other cutting conditions, they also showed great fluctuation. Additionally, the ratio of cutting power to idling power was small; in short, machining efficiency was regarded as poor. Then we concluded that we should evaluate the variability and instability of energy of a machine.

4. Design of Experiments

For generic function 1, as signal factors we selected each cumulative sum of 12 time intervals into which total time duration from start to finish of cutting was divided equally: T_1 , T_2 , T_3 , ..., and T_{12} . We repeated three times cutting of the area removed. For generic function 2, by cutting the amount removed three times, we measured work for each cutting as a signal factor. Next, considering ease of measurement, we substituted change in the amount removed, M_1 , M_2 , and M_3 , for mass removed per se. For both functions, as the output characteristic we selected the cumulative value of the electrical power, y, for signal at each factor level.



Cutting processes

Assuming that causes for errors affect the variability of power in a direct or indirect manner, we set a difference between maximum and minimum values of electrical power to a noise factor, which should be small. In addition, we selected a minimum value of power y_{min} as N_1 and a maximum y_{max} as N_2 . Since the diameter of a test piece becomes smaller as we repeat cutting, a change in electrical power for each cutting run was also chosen as a noise factor.

As control factors, we picked various factors, such as machining setup and tool condition. We confirmed that a revolution during machining does not vary when measured with a tachometer. Control factors are summarized in Table 1.

Electricic Power Measurement Results

When a cumulative value of electrical power is used, its variability is sometimes hidden, due to its accumulation. To solve this problem we substituted a product or area of time and a minimum value W_{\min} (or a maximum value W_{\max}) for each divided time interval for the simple cumulative value (Figure 6).

For generic function 1, we calculated the maximum and minimum of electrical power, W, for each divide time interval (Figure 7). Table 2 shows a sample of the electrical power measured for cutting run 1. Idling power means before- or after-cutting power. Moreover, by including power during idling, we show the cumulative relationship between time, T, and electrical power, W, in Table 3 and Figure 8, which is a schematic of Table 3. For generic function 2, we computed the electrical power for each time duration from start to finish of cutting (Figure 9). Using electrical power during idling as a standard, we accumulated each area of power (Figure 10), which represent the data of experiment 1 of the L_{18} orthogonal array. The symbol P_0 indicates idling, and P_1 , P_2 , and P_3 indicate the cutting run number. T, M, and γ are point of time, amount removed, and cumulative value of electrical power calculated as area, respectively. In addition, to evaluate the linearity of these data, we plotted Figure 11 for the change of electrical power during idling (Table 4), Figure 12 for the change of electrical power during cutting (Table 5), and for the change in electrical power versus mass removed. As a result, we can see the linearity for each case.



Figure 5

Fluctuation of electrical power at cutting run 1

Based on these results, we describe our calculation process in the following section.

5. SN Ratios and Response Graphs

SN Ratio for Generic Function: Time versus Electrical Power

By calculating the square root of each data point in Table 3, we obtained the converted data in Table 6. We computed S_{M^*B} , which is the effect due to a dif-

ference between idling and cutting. For energy consumption, it should be smaller during idling and greater during cutting. By regarding this difference of effect as an effective portion of energy, we calculated the SN ratio.

Total variation:

$$S_T = 125.419^2 + 128.452^2 + \dots + 349.428^2$$

= 4,574,898.032

Linear equations:

Table 1

Control factors and levels

			Level	
	Control Factor	1	2	3
<i>A</i> :	lubricant dilution (%)	Little	Mid	—
В:	depth of cut (mm)	0.5	1.0	2.0
С:	nose angle (deg)	Small	Mid	Large
D:	rake angle (deg)	Small	Mid	Large
Е:	side cutting-edge angle (deg)	Small	Mid	Large
<i>F</i> :	tip face type	1	2	3
G:	revolutionary speed (rpm)	Slow	Mid	Fast
Н:	feeding speed (mm/rev)	Slow	Mid	Fast



Figure 6 Minimum and maximum values of electrical power

$$\begin{split} L_1 &= (3.32)\,(125.419)\,+\,(4.69)\,(177.370) \\ &+\,\cdots\,+\,(8.12)\,(304.877)\,=\,8692.862 \\ \vdots \\ L_{12} &=\,9940.218 \end{split}$$

Effective divider:

$$r = 3.32^2 + 4.69^2 + \dots + 8.12^2 = 230.914$$

Variation of proportional terms:

$$S_{\beta} = \frac{(L_1 + \dots + L_{12})^2}{(2)(3)(2)r} = 454,651,059$$

Variation of differences of proportional terms:

$$S_{N\beta} = \frac{(L_1 + L_3 + \dots + L_{11})^2 + (L_2 + L_4 + \dots + L_{12})^2}{(2)3r} - S_{\beta} = 225.049$$

$$\begin{split} S_{M\beta}^{*} &= \\ \frac{(L_1 + L_2 + \dots + L_6)^2 + (L_7 + L_8 + \dots + L_{12})^2}{(3)2r} \\ &- S_{\beta} = 27056211 \\ S_{P\beta} &= \\ \frac{(L_1 + L_2 + L_7 + L_8)^2 + \dots + (L_5 + L_6 + L_{11} + L_{12})^2}{(2)2r} \\ &- S_{\beta} = 1041.748 \end{split}$$

Error variation:

$$S_e = S_T - S_\beta - S_{N\beta} - S_{M^*\beta} - S_{P\beta} = 64.434$$

Error variance:

$$V_e = \frac{S_e}{67} = 0.962$$

Total error variance:

$$V_N = \frac{S_e + S_{N\beta} + S_{P\beta}}{70} = 19.018$$

SN ratio:

$$\eta = 10 \log \left[\frac{[1/(2)(3r)](S_{M^*\beta} - V_e)}{V_N} \right]$$

=
-2.90 dB

Sensitivity:

$$S = 10 \log \left[\frac{1}{(2)(3r)} (S_{\beta} - V_{e}) \right] = 32.15 \text{ dB}$$





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Table 2
Measured data for cutting run 1 (W) (generic function 1)

			Time (s)						
		<i>T</i> ₀₁ 13.00	<i>T</i> ₀₂ 13.00	<i>Т</i> ₀₃ 13.00	<i>Т</i> ₀₄ 13.00	<i>T</i> ₀₅ 13.00	<i>Т</i> _{об} 13.00		
M*:									
idling	$N_1: W_{\min}$	1430	1430	1420	1390	1390	1390		
	N ₂ : W _{max}	1500	1490	1480	1440	1440	1440		
M*									
cutting	$N_1: W_{\min}$	1960	1950	1950	1950	1940	1940		
	N ₂ : W _{max}	2030	2020	2010	2010	2000	2010		

Table 3

Data of time versus electrical power

						Т	ïme		
				<i>T</i> ₁ 11.00	<i>T</i> ₂ 22.00	<i>T</i> ₃ 33.00	<i>T</i> ₄ 44.00	<i>T</i> ₅ 55.00	<i>Т</i> ₆ 66.00
M [*] : idling	P ₀₁ : cutting 1 P	N ₁ N ₂	y _{min} y _{max}	15,730 16,500	31,460 32,899	47,080 49,170	62,370 65,010	77,660 80,850	92,950 96,690
	cutting 2	$egin{array}{c} N_1 \ N_2 \end{array}$	y _{min} y _{max}	15,180 15,620	30,360 31,350	45,650 46,970	60,500 62,040	75,350 77,220	90,200 92,400
	cutting 3	$egin{array}{c} N_1 \ N_2 \end{array}$	y _{min} y _{max}	14,740 15,070	29,840 30,140	44,330 45,320	58,960 60,280	73,590 75,240	88,000 90,200
M ₂ [*] : cutting	P_1 : cutting 1 P_2 .	$egin{array}{c} N_1 \ N_2 \end{array}$	y _{min} y _{max}	21,560 22,330	43,010 44,550	64,460 66,660	85,910 88,770	107,250 110,770	128,590 132,880
	cutting 2	$egin{array}{c} N_1 \ N_2 \end{array}$	y _{min} y _{max}	20,680 21,230	41,360 42,350	61,930 63,360	82,390 84,370	102,850 105,270	23,420 126,170
	cutting 3	$egin{array}{c} N_1 \ N_2 \end{array}$	y _{min} y _{max}	19,910 20,460	39,930 40,810	59,840 61,160	79,750 81,510	99,550 101,860	119,350 122,100



Figure 8 Change in electrical power during idling and cutting (generic function 1)

SN Ratio for Basic Function: Amount Removed versus Electric Power

By calculating the square root of each data point in Table 5, we obtained the converted data in Table 7. Next, using an average value of electrical power as a reference point, we converted the data from Table 7 into the reference-point proportional data in Table 8.

$$S_T = (-10.282^2) + 10.282^2 + \dots + 389.986^2$$

= 509,577.5952

$$L_1 = (13.753)(158.498) + (18.912)(275.875) + \dots + (22.505)(370.277) = 15,730.255$$

$$L_{2} = 16,811.217$$

$$r = 13.753^{2} + 18.912^{2} + 22.505^{2} = 1053.284$$

$$S_{\beta} = \frac{(L_{1} + L_{2})^{2}}{2r} = 502,688.4487$$

$$S_{N\beta} = \frac{L_{1}^{2} + L_{2}^{2}}{r} - S_{\beta} = 554.6836$$

$$S_{e} = S_{T} - S_{\beta} - S_{N\beta} = 6334.4629$$

$$V_{e} = \frac{S_{e}}{6} = 1055.7438$$

$$V_{N} = \frac{S_{e} + S_{N\beta}}{7} = 984.1638$$



Figure 9

Electrical power for each cutting run, including the idling run (generic function 2)





Time change in electrical power for each idling and cutting run (generic function 2)



Figure 11 Change in electrical power during idling

Measured data for each cutting run (W) (generic function 2)

<i>M</i> : Amount F	Removed (g):	P _o : Idling O	<i>P</i> ₁ : Cutting Run 1 189.140	<i>P</i> ₂: Cutting Run 2 168.510	<i>P</i> ₃ : Cutting Run 3 148.830
$\begin{array}{ccc} N_1 & W_1 \\ N_2 & W_1 \end{array}$	min.	1310	1940	1860	1800
	max.	1500	2030	1930	1860

SN ratio:

$$\eta = 10 \log \frac{(1/2r)(S_{\beta} - V_{e})}{V_{N}} = -6.16 \text{ dB}$$

Sensitivity:

$$S = 10 \log \left\lfloor \frac{1}{2r} \left(S_{\beta} - V_{e} \right) \right\rfloor = 23.77 \text{ dB}$$

Following these procedures, we computed the SN ratio and sensitivity for other experiments of the L_{18} orthogonal array. Figures 13 and 14 show a comparison of two generic functions for SN ratio and sensitivity, respectively.

Confirmatory Experiment and Analysis

While for generic function 1, both the SN ratio and sensitivity should be larger, for generic function 2, the SN ratio should be larger and the sensitivity should be smaller. Looking at each factor effect, we notice that factor *B* depth of cut, factor *G*, of revolution, and factor *H*, feeding speed, have a stronger effect than do other factors. Although a confirmatory experiment should be implemented at optimal and initial configurations for each function, by focusing on a trade-off relationship between generic functions 1 and 2 in our research, we selected $A_1B_1C_1D_2E_1F_2G_3H_3$ as the optimal configuration. Table



Figure 12 Change in electrical power during cutting

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Table 5

Amount removed and electrical power (W) for each cutting run (generic function 2)

М:	Amount Removed (g):	P₀: Idling 0	<i>P</i> 1: Cutting Run 1 189.140	<i>P</i> ₂: Cutting Run 2 357.650	<i>P</i> ₃: Cutting Run 3 506.480
	$\begin{array}{ccc} N_1 & y_{\min} \\ N_2 & y_{\max} \end{array}$	86133 98625	213,687.5 232,097.5	335,982.5 358,995	454,442.5 481,290

9 shows the results. We believe that good reproducibility of gain is obtained.

Relationship between Energy Evaluation and Improvement in Dimension and Roughness

If we can obtain improvement effects at the optimal configuration–based energy evaluation, target quality characteristics such as machining dimensions or surface roughness should be improved. The quality of dimension means whether dimension y of a test piece is cut for each P, the number of cuts, without variability. Therefore, for dimension, the diameter of the test piece for each P is measured by a micrometer, and for roughness, average surface roughness is measured by a touch-probe surface roughness measuring instrument. Tables 10 and 11 show the measurement data for dimension and roughness. J indicates measurement points in the longitudinal direction of a test piece, X and Y represent measurement points in the radial direction,

Table 6

Converted data of time versus electrical power (postconversion)

						Tin	ne		
				<i>T</i> ₁ 3.32	<i>T</i> ₂ 4.69	<i>T</i> ₃ 5.74	<i>Т</i> 4 6.63	<i>T</i> ₅ 7.42	<i>T</i> ₆ 8.12
M [*] : idling	P ₀₁ : cutting run 1	$egin{array}{c} N_1 \ N_2 \end{array}$	y _{min} y _{max}	125.419 128.452	177.370 181.356	216.979 221.743	249.740 254.971	278.675 284.341	304.877 310.950
	cutting run 2 P_{oo}	$egin{array}{c} N_1 \ N_2 \end{array}$	y _{min} y _{max}	123.207 124.980	174.241 177.059	213.659 216.726	245.967 249.078	274.500 277.885	300.333 303.974
M*:	cutting run 3	$N_1 \\ N_2$	y _{min} y _{max}	121.408 122.760	171.697 173.609	210.547 212.885	242.817 245.520	271.275 274.299	296.648 300.333
cutting	cutting run 1 P_2 :	$N_1 \\ N_2$	y _{min} y _{max}	146.833 149.432	207.389 211.069	253.5890 258.186	293.104 297.943	327.490 332.821	358.594 364.527
	² cutting run 2 P_3 :	$N_1 \\ N_2$	y _{min} y _{max}	143.805 145.705	203.372 205.791	248.857 251.714	287.037 290.465	320.702 324.453	351.312 355.204
	cutting run 3	$\frac{N_1}{N_2}$	y _{min} y _{max}	141.103 143.038	199.825 202.015	244.622 247.305	282.400 285.500	315.515 319.155	345.471 349.428

Converted data of amount removed versus electrical power (W) (postconversion)

M: Amount Removed (g):	P _o : Idling 0	<i>P</i> ₁ : Cutting Run 1 13.753	<i>P</i> ₂: Cutting Run 2 18.912	P₃: Cutting Run 3 22.506
N ₁ y _{min}	293.483	462.263	574.640	674.042
N ₂ y _{max}	314.046	481.765	599.162	693.751

Table 8

Data for reference-point proportional equation (W)

М:	Amount Removed (g):	P₀: Idling 0	<i>P</i> ₁ : Cutting Run 1 13.753	<i>P</i> ₂: Cutting Run 2 18.912	P ₃ : Cutting Run 3 22.505
	N ₁ y _{min}	-10.22	158.498	275.875	370.277
	N ₂ y _{max}	10.282	178.000	295.397	389.986



Figure 13

Response graphs of SN ratio of time versus electrical power



Figure 14 Response graphs of sensitivity of time versus electrical power

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Results of gain in confirmatory experiments

		Configura		
(a) Time vs. I	Electrical Power	Optimal	Initial	Gain
SN ratio	Estimation	2.40	-5.53	7.93
	Confirmation	5.96	-4.92	10.88
Sensitivity	Estimation	32.40	30.36	2.04
	Confirmation	31.96	30.10	1.86
		Configura	ation	
(b) Amount Remo	ved vs. Electrical Power	Optimal	Initial	Gain
SN ratio	Estimation	0.74	-7.25	7.99
	Confirmation	0.27	-6.93	7.20
Sensitivity	Estimation	24.56	24.97	-0.41
	Confirmation	23.98	24.87	-0.89

R represents repetition of measurement, and N indicates the number of test pieces machined. Table 12 shows the results calculated as a nominal-the-best characteristic. Consequently, we can confirm that we can estimate the final quality and machine products in a stable manner once we improve the cutting process based on energy evaluation.

8. Discussion and Conclusions

The reason that we have not been able to obtain good reproducibility of gain in the research on machining based on energy evaluation is that we have not assessed electrical power during idling (no loading) in a proper manner. As a result of combining

Table 10

Dimensional data at optimal configuration (mm)

			J		J		J		J	
			X	Y	X	Y	X	Y	Х	Y
N_1	P_1	$R_1 R_2$	30.023 39.031	39.025 39.031	39.019 39.029	39.018 39.029	39.015 39.019	39.017 39.027	39.015 39.030	39.014 39.027
	<i>P</i> ₂	$R_1^{\overline{1}}$ R_2	38.022 38.020	38.023 38.020	38.018 38.021	38.018 38.019	38.015 38.012	38.016 38.020	38.014 38.022	38.014 38.020
	<i>P</i> ₃	R_1 R_2	37.023 37.023	37.023 37.024	37.019 37.024	37.018 37.022	37.015 37.015	37.016 37.021	37.014 37.025	37.014 37.021
N_2	P_1	$R_1 R_2$	39.032 39.013	39.025 39.015	39.032 39.020	39.033 39.019	39.027 39.028	39.026 39.026	39.026 39.026	39.025 39.029
	<i>P</i> ₂	$R_1^{\overline{1}}$ R_2	38.052 38.020	38.045 38.022	38.050 38.026	38.050 38.024	38.045 38.028	38.044 38.027	38.043 38.027	38.043 38.030
	<i>P</i> ₃	R_1^{1} R_2	37.038 37.024	37.027 37.026	37.034 37.029	37.034 37.026	37.029 37.032	37.028 37.030	37.026 37.033	37.026 37.034

Roughness data at optimal configuration (μ m)

			1.	Ja	1.	J.
<i>N</i> ₁	<i>P</i> ₁	R_1 R_2	2.500 2.910	2.460 2.950	2.450 3.020	2.450 2.940
	<i>P</i> ₂		2.500	2.450	2.500	2.530 2.940
	<i>P</i> ₃	$R_1 R_2$	2.240 3.030	2.500 3.010	2.480 3.100	2.500 3.080
<i>N</i> ₂	<i>P</i> ₁	R_1 R_2	3.510 1.980	3.580 2.030	3.640 2.060	3.670 2.120
	<i>P</i> ₂	R_1	3.560	3.650	3.780	3.820
	<i>P</i> ₃	$R_1 R_2$	3.980 2.487	4.160 2.512	4.140 2.604	4.010 2.564

Table 12

Gain of the SN ratio of dimension and roughness (dB)

	Configuration			Improvement of
	Optimal	Initial	Gain	Variance
SN ratio of dimension	72.86	60.20	12.66	1/18.45
SN ratio of roughness	13.64	11.98	1.66	1/1.47

data for electrical power with data for each generic function, we have obtained good reproducibility. In addition, we have proven that we can estimate final quality characteristics using the results.

As one of the analyses in this research, by using the difference between idling and cutting and regarding this difference as an effective amount of energy, we have calculated SN ratios. Next, looking at the relationship for $y = \beta_1 M$, β_1 should be greater; conversely, for $y = \beta_2 M$, β_2 should be smaller. The reason is that when $M = (\beta_1/\beta_2) T$, β_1/β_2 should be greater. Indeed, electrical power consumption seems great if β_1 is great; however, electrical power required for the same amount of machining can be smaller if β_2 is small. Thus, we conclude that electrical power during idling should be smaller, whereas that during cutting should be greater. These considerations are applicable to performance evaluation of a robot or other machines that have two functions, one during idling and one during loading.

Since a portion to be removed should be shaved uniformly at each microscopic area with even energy in cutting, we have proved that it reasonable to evaluate proportionality of energy with maximum and minimum values of electric power at each microscopic area.

Reference

This case study is contributed by Kazuhito Takahashi, Shinji Kousaka, Kiyoharu Hoshiya, Kouya Yano, Noriaki Nishiuchi, and Hiroshi Yano.

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